



Treatment approach to metastatic and HER2 over-expression breast cancer

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Outline

Milestone of targeting HER2 in MBC

Recent advance in HER2 treatment

Milestone of HER2-targeting agent in MBC

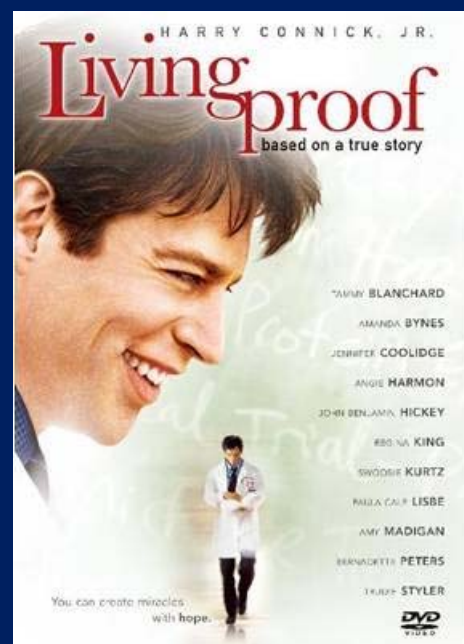


Discovery HER2
as a oncogene
in BC

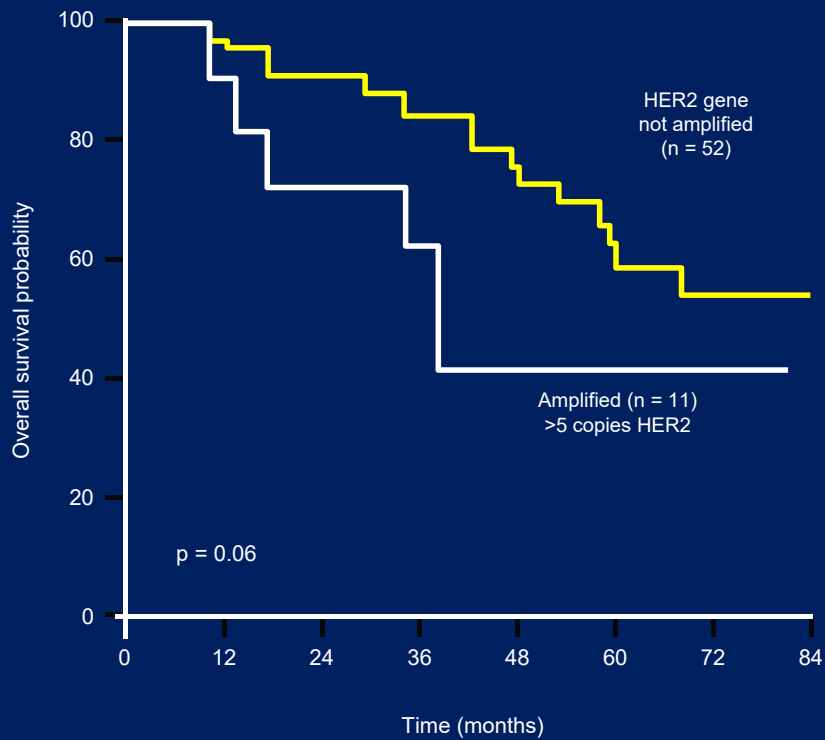
Pioneer of HER2 targeting journey



Dr. Dennis Slamon

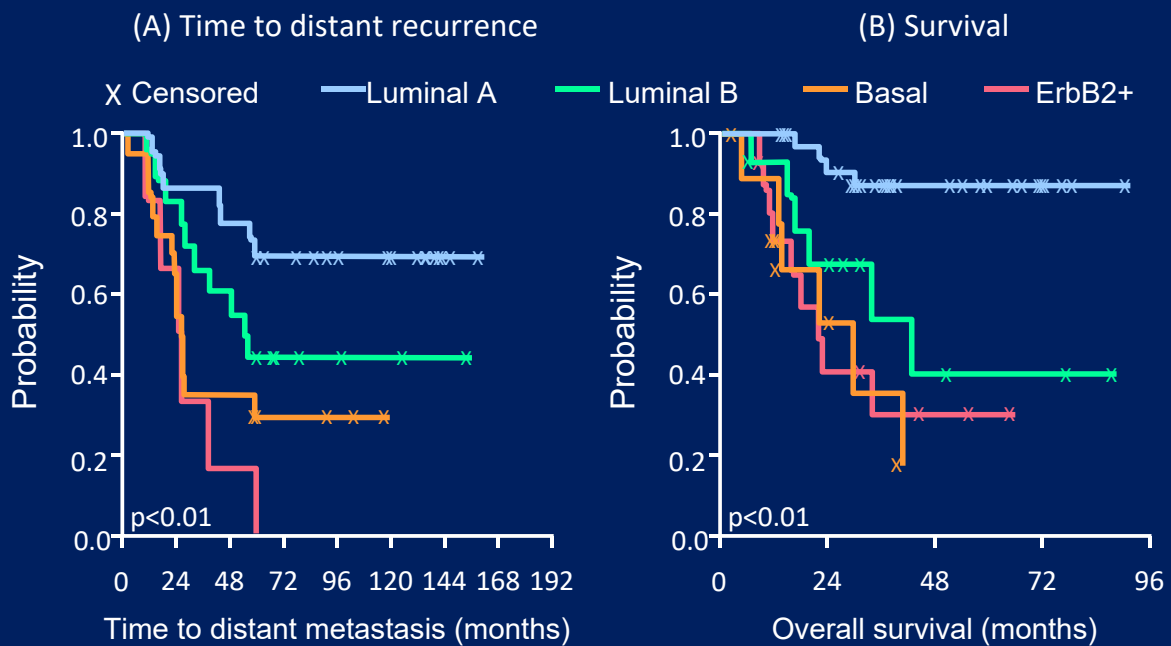


HER2 positive represent negative prognostic factor...



Slamon et al. 1987 Science 5

Recurrence of HER2-positive cancers: Time to recurrence and survival by tumor type



Sorlie et al. Proc Natl Acad Sci USA 2003;100:8418-8423 6

HER2 and breast cancer

HER2 is a negative prognostic factor in BC ¹⁻³

HER2 positivity correlates with aggressive breast cancer tumour behaviour ⁴

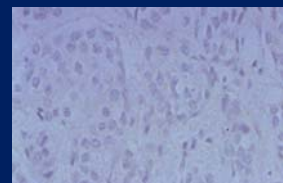
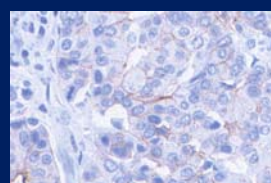
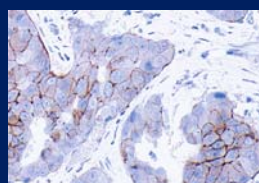
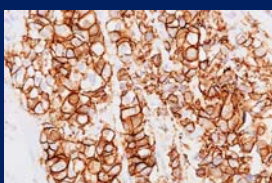
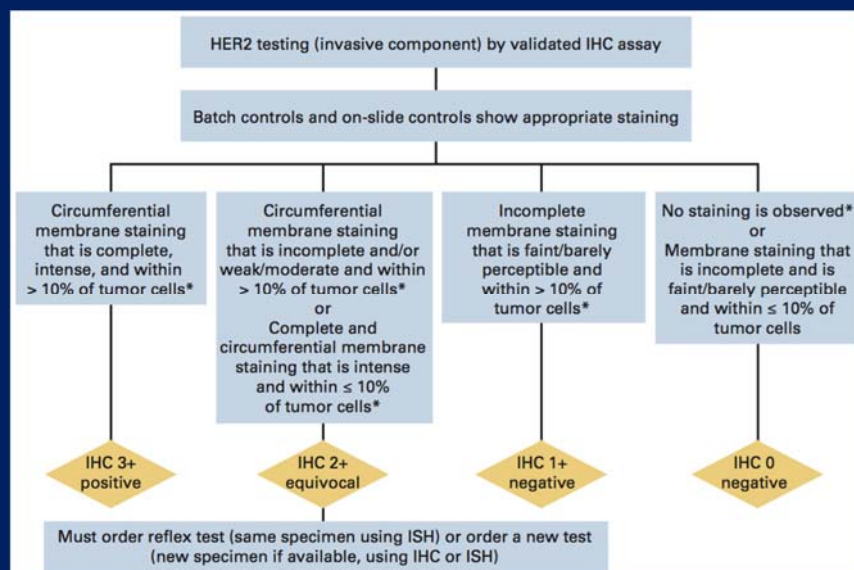
- Short disease-free interval
- Large tumour size
- High nuclear grade
- Positive nodal status

HER2 overexpression/*HER2* amplification occurs in around: 20–30% of BC tumors ^{1,2,5,6}

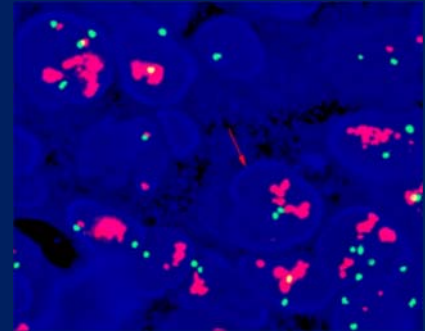
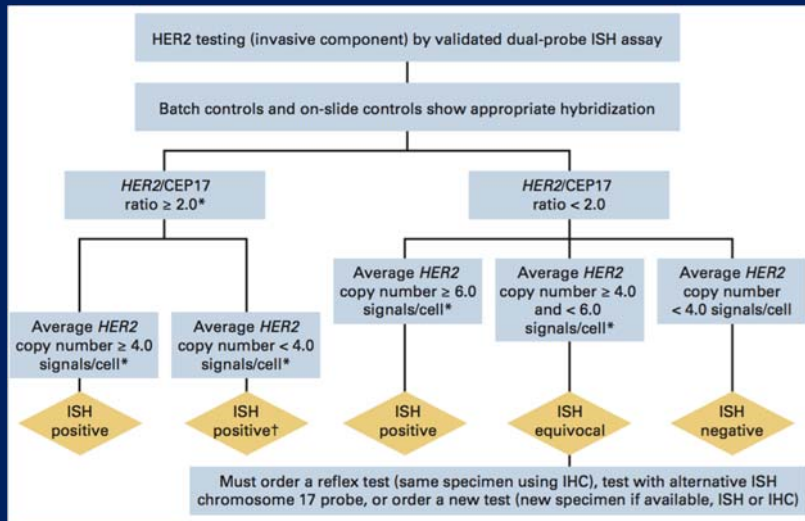
1. Seshadri et al. J Clin Oncol 1993;11:1936–1942
2. Slamon et al. Science 1987;235:177–182
3. Andrulis et al. J Clin Oncol 1998;16:1340–1349
4. Ross et al. Oncologist 2003;8:307–325
5. Paik et al. J Natl Cancer Inst 2000;92:1991–1998
6. Owens et al. Clin Breast Cancer 2004;5:63–69

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IHC scoring: semiquantitative interpretation of HER2 expression



HER2 gene amplification detected by fluorescent in situ hybridization (FISH)

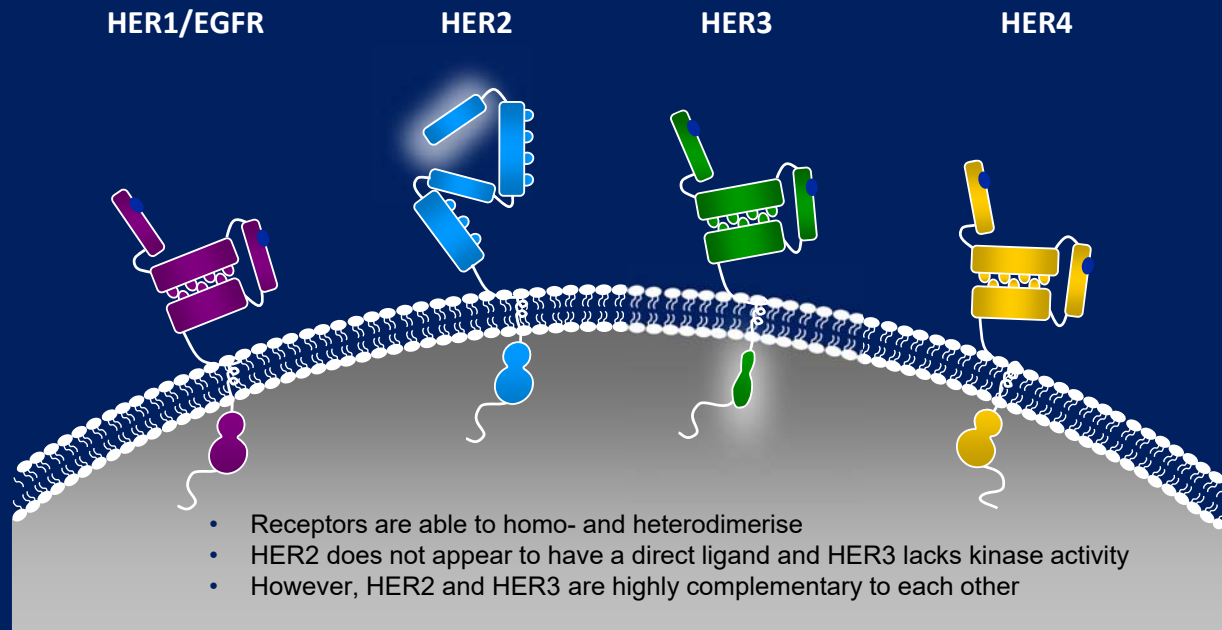


J Clin Oncol. 2013;31:3997-4013

Milestone of HER2-targeting agent in MBC



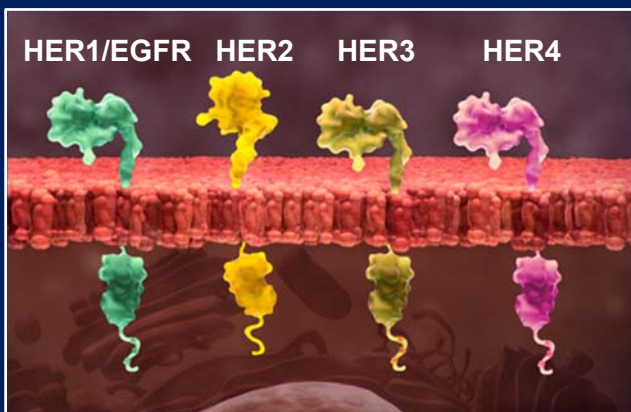
Four receptors in the HER family



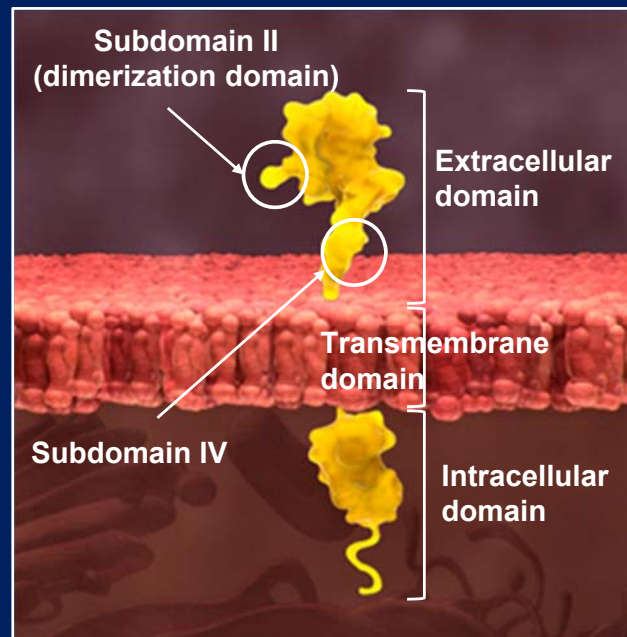
EGFR, epidermal growth factor receptor

Yarden & Sliwkowski. *Nat Rev Mol Cell Biol* 2001;2:127-137 11

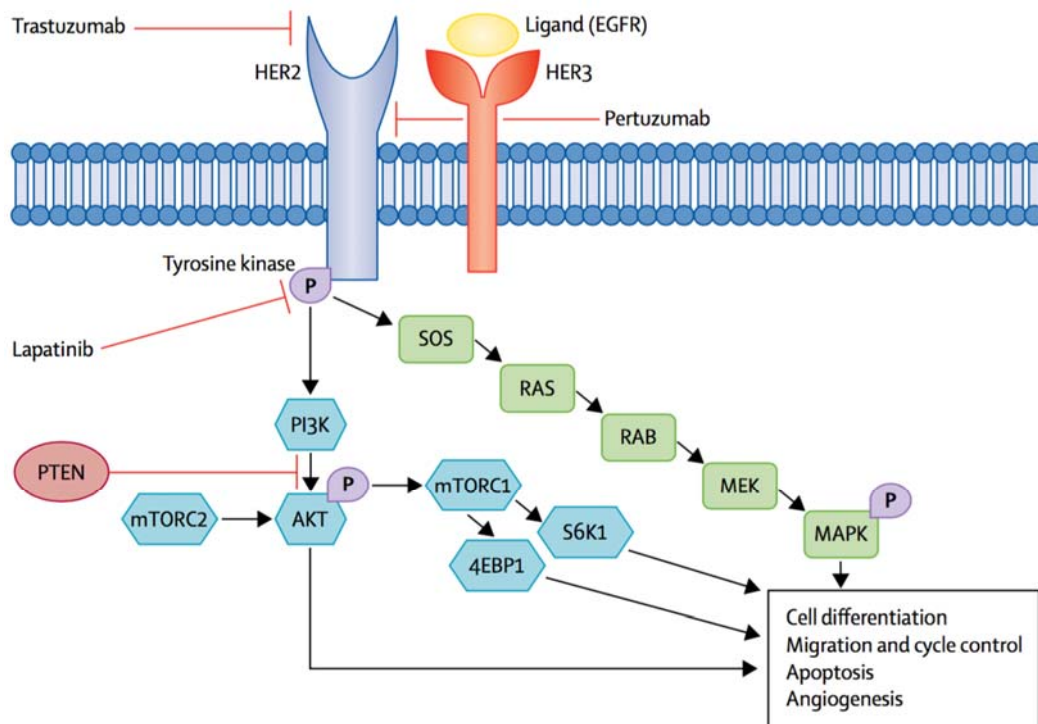
Four receptors in the HER family



Receptors are able to homo- and heterodimerise



HER2 Signaling Pathway

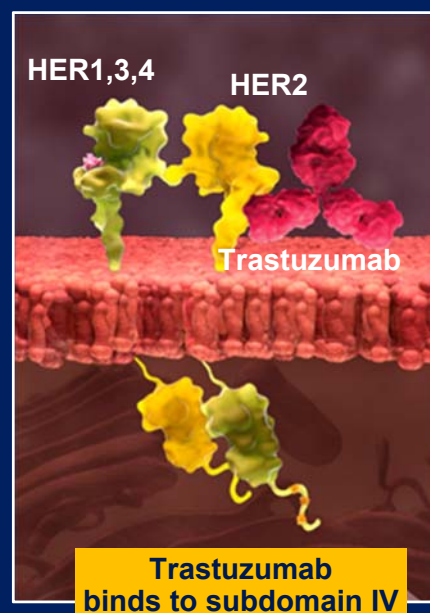


Lancet 2017;389:2415–29

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The First Saviour: Trastuzumab, an anti-HER2 antibody with three distinct mechanisms of action

- Inhibition of HER2-mediated intracellular signalling^{1–5}
- Block of HER2 cleavage and shedding of the extracellular domain^{1,10,11}
- **Activation of antibody-dependent cellular cytotoxicity (ADCC)^{6–9}**

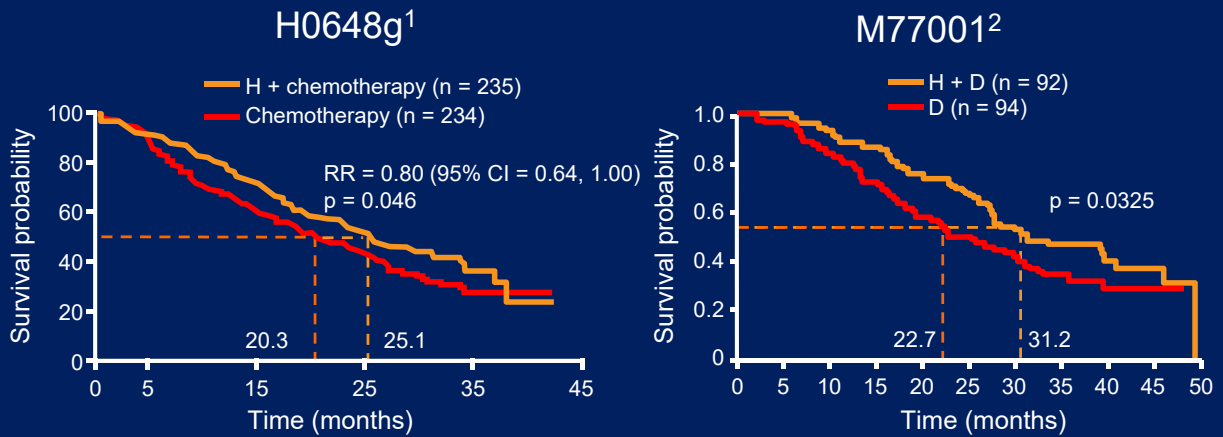


Trastuzumab binds to subdomain IV

1. Nahta et al. *Cancer Lett* 2006; 232:123-138; 2. Fry. *Breast Cancer Res* 2001; 3:304-312; 3. Gershtein et al. *Clin Chim Acta* 1999; 287:59-67; 4. Yakes et al. *Cancer Res* 2002; 62:4132-4141; 5. Longva et al. *Int J Cancer* 2005; 116:359-367; 6. Nahta et al. *Breast Cancer Res* 2006; 8:215; 7. Clynes et al. *Nat Med* 2000; 6:443-446; 8. Gennari et al. *Clin Cancer Res* 2004; 10:5650-5655; 9. Arnould et al. *Br J Cancer* 2006; 94:259-267; 10. Molina et al. *Cancer Res* 2001; 61:4744-4749; 11. Loibl et al. *ASCO* 2011. Abstract 530.

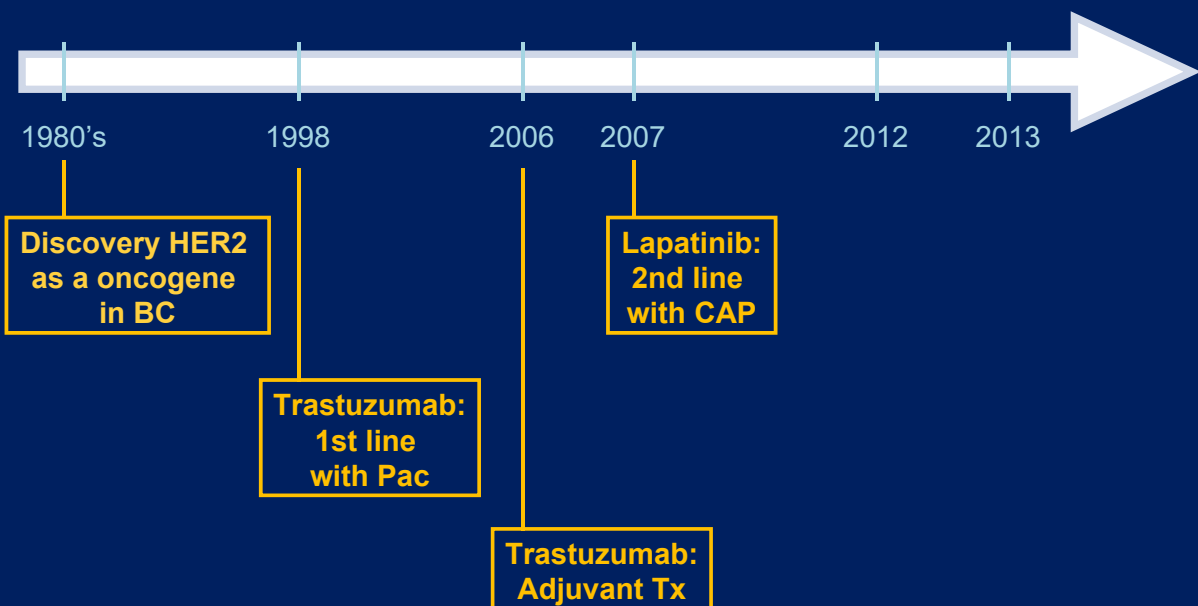
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Trastuzumab plus Taxane improved MBC survival



Study	Median Survival, Mos		HR _{SEP} (95% CI)	P Value
	Chemotherapy Alone	Chemotherapy + Trastuzumab		
Paclitaxel (Slamon) ^[1]	20.3	25.1	0.80 (0.64-1.00)	0.046
Docetaxel (Marty) ^[2]	22.7	31.2	Not reported	.0325

Milestone of HER2-targeting agent in MBC



Trastuzumab Beyond Progression in Human Epidermal Growth Factor Receptor 2–Positive Advanced Breast Cancer: A German Breast Group 26/Breast International Group 03-05 Study

HER-2–positive,
locally advanced or mBC,
within prior trastuzumab <6 wks
open label,
phase III,
1:1 randomization

Capecitabine 2,500 mg/m² on days 1~14
(1,250 mg/m² semi- daily)
plus trastuzumab 6 mg/kg infusion every 3 weeks
(n=78)

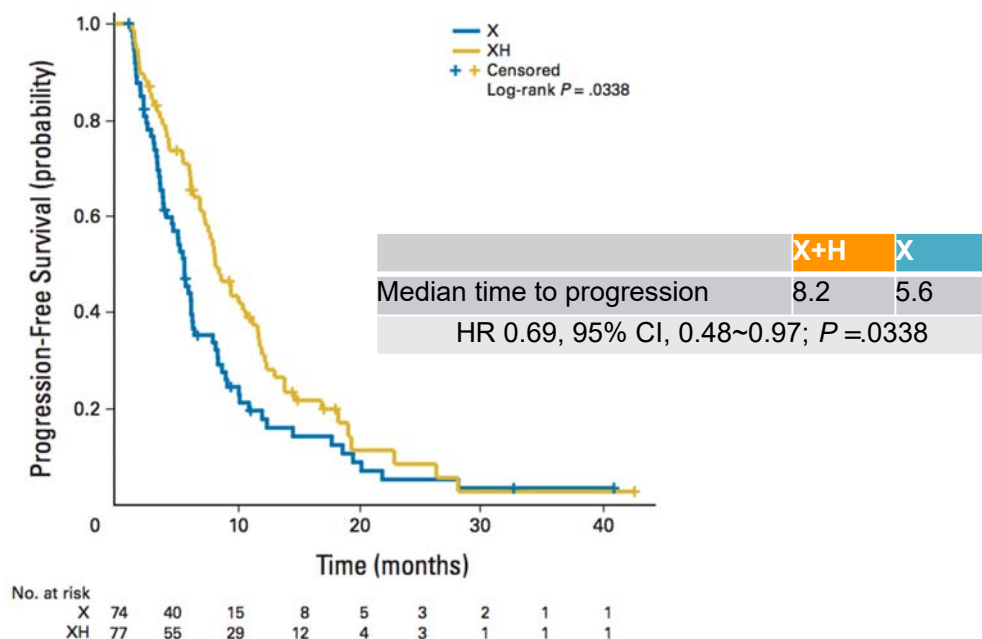
Capecitabine 2,500 mg/m² on days 1~14
(1,250 mg/m² semi- daily)
(n=78)

duration of previous trastuzumab Tx >12 wks

- ✦ Primary endpoint: time to progression
- ✦ Secondary endpoints: RR, OS, duration of response , safety

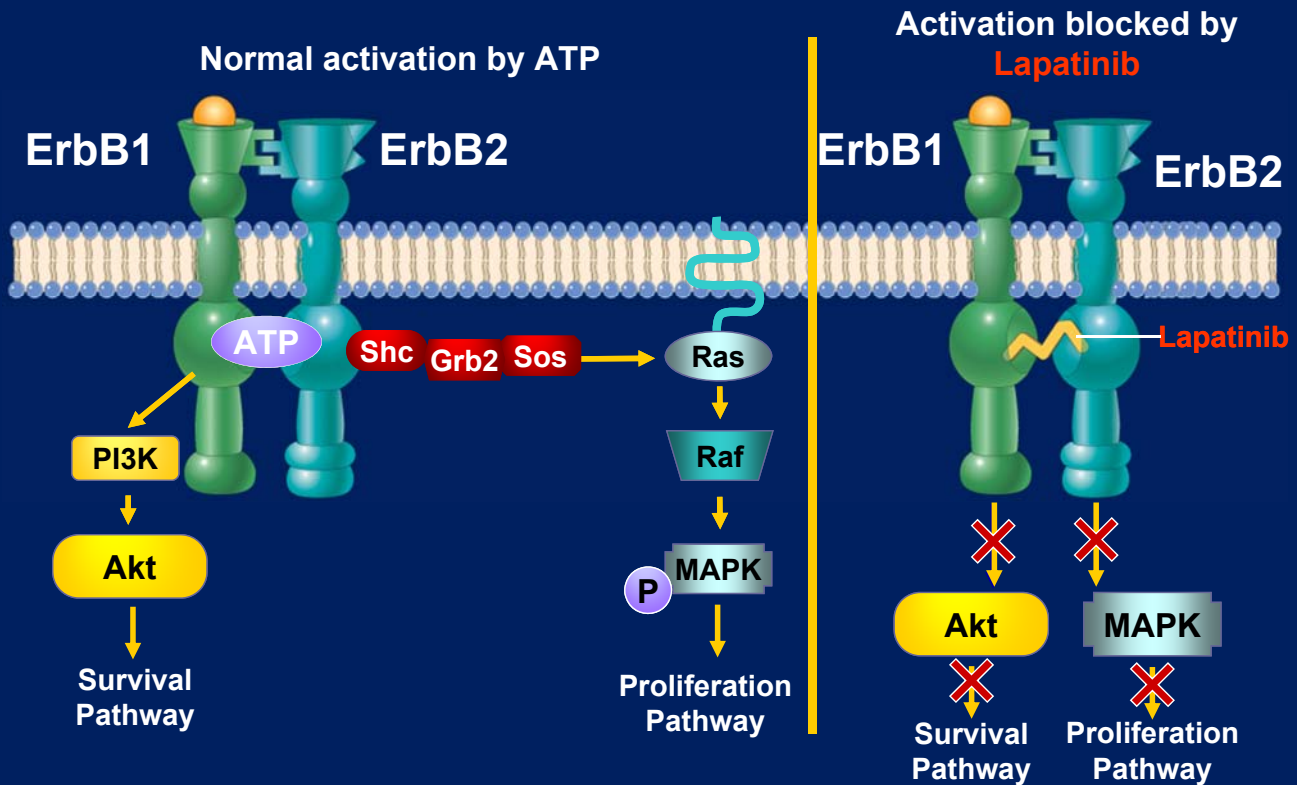
J Clin Oncol. 2009;27:1999-2006

GBG26/BIG03-05 Trastuzumab beyond progression: progression-free survival



J Clin Oncol. 2009;27:1999-2006

Lapatinib mechanism of action



Xia W, et al. Oncogene 2002;21:6255-63; Rusnak DW, et al. Mol Cancer Ther 2001;1:85-94.

EGF100151: Lapatinib + Capecitabine in Advanced HER2-positive Breast Cancer

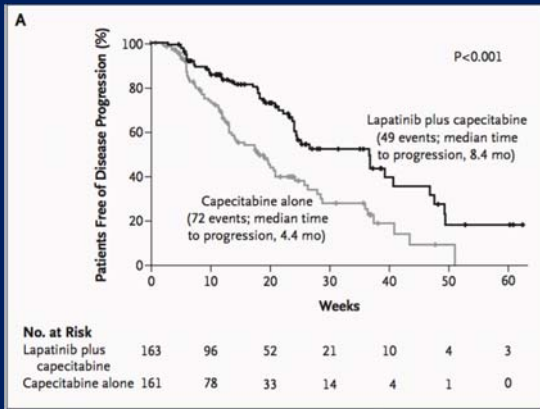
Refractory,
HER2+ LA or MBC
previously treated with
anthracycline,
taxane, and trastuzumab
(N = 528 planned*)

Lapatinib 1250 mg daily +
Capecitabine 2000 mg/m² daily
for Days 1-14, 3-week cycles
(n=198)

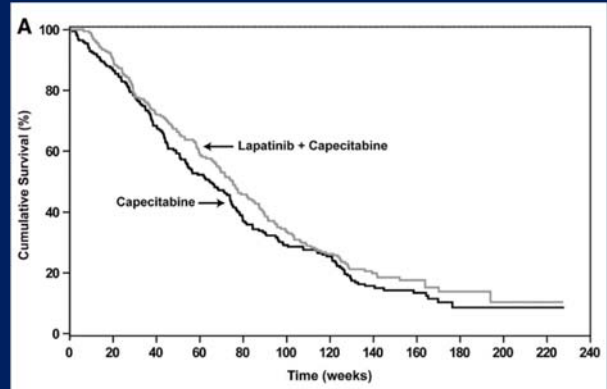
Capecitabine 2500 mg/m² daily
for Days 1-14, 3-week cycles
(n=201)

- ✦ Primary endpoint: time to progression
- ✦ Secondary endpoints: PRS, RR, OS, safety

EGF100151: Lapatinib plus capecitabine after trastuzumab progression



No difference in overall survival



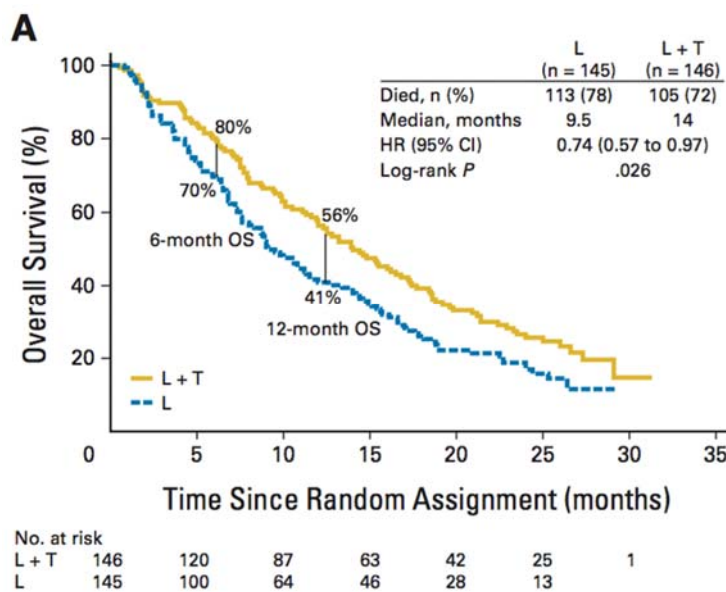
	X+L	X		X+L	X
Median time to progression (mo)	8.4	4.4	Median overall survival (wks)	75.0	64.7
HR 0.49, 95% CI, 0.34~0.71; $P < .0001$			HR 0.87, 95% CI, 0.70~1.08; $P = .206$		

Geyer, et al. *N Engl J Med* 2006;355:2733-43
Cameron D et al. *Oncologist*. 2010;15(9):924-34

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Lapatinib plus trastuzumab in HER2+ MBC after progression on trastuzumab: overall survival improved

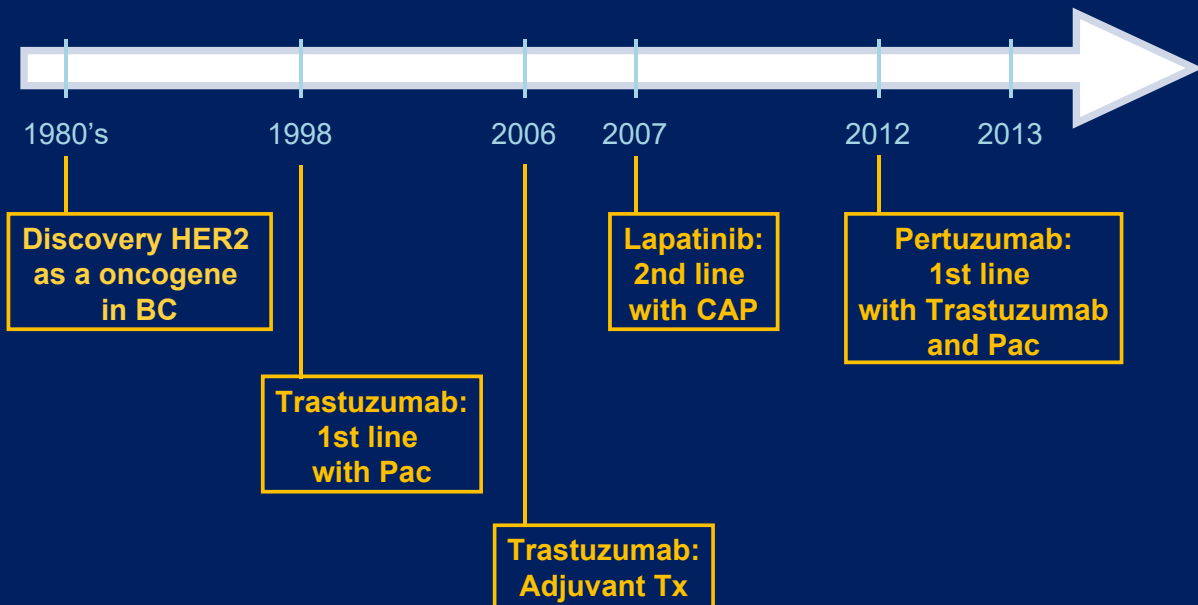
phase III EGF104900 Study (n=291)



Blackwell KL, et al. *J Clin Oncol*. 2012;30:2585-92

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Milestone of HER2-targeting agent in MBC

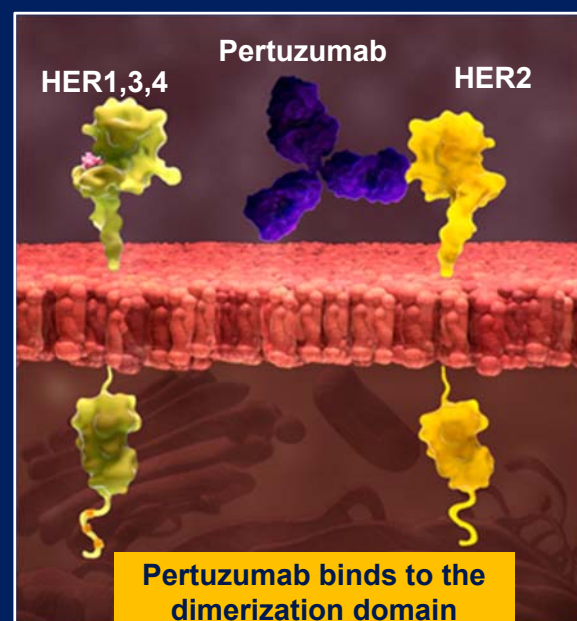


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Pertuzumab: first in a new class of HER2 dimerization inhibitors

Key HER signalling pathways that mediate cancer cell proliferation and survival are inhibited by pertuzumab blockade of HER2 dimerisation¹⁻⁶

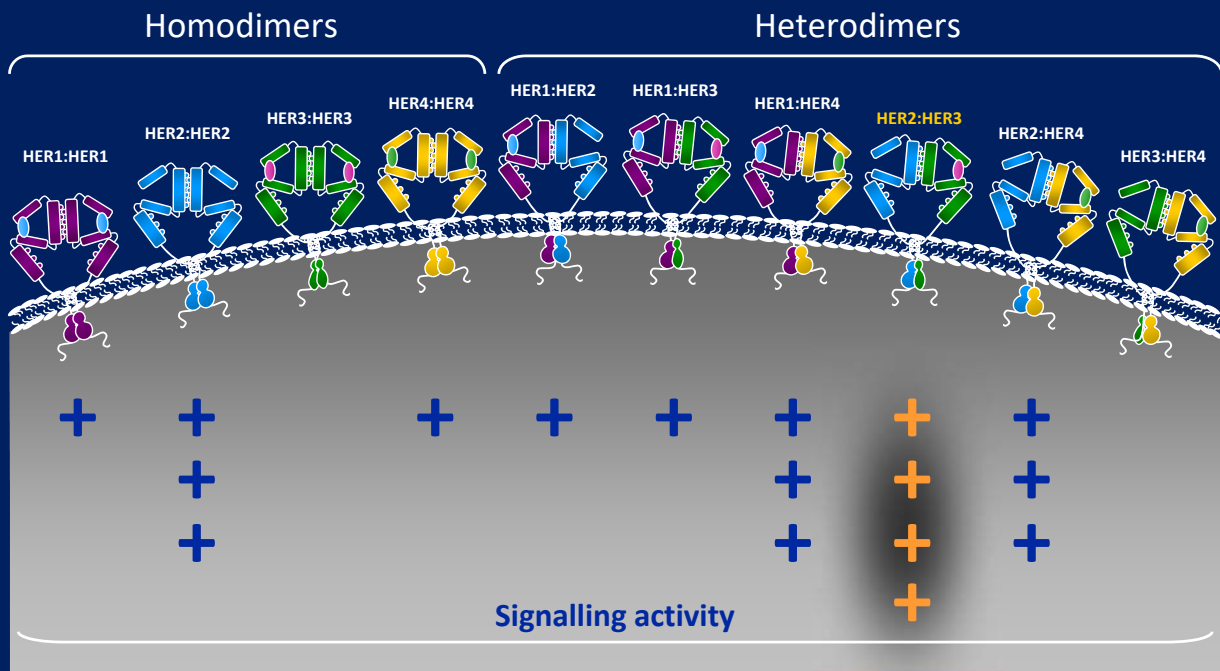
In addition, pertuzumab has the potential to activate antibody-dependent cellular cytotoxicity⁷



1. Agus et al. *Cancer Cell* 2002; 2:127-137; 2. Hughes et al. *Mol Cancer Ther* 2009; 8:1885-1892; 3. Herbst et al. *Clinical Cancer Res* 2007; 13:6175-6181; 4. Baselga. *Cancer Cell* 2002; 2:93-95; 5. Citri et al. *Exp Cell Res* 2003; 284:54-65; 6. Franklin et al. *Cancer Cell* 2004; 5:317-328; 7. Scheuer et al. *Cancer Res* 2009; 69:9330-9336.

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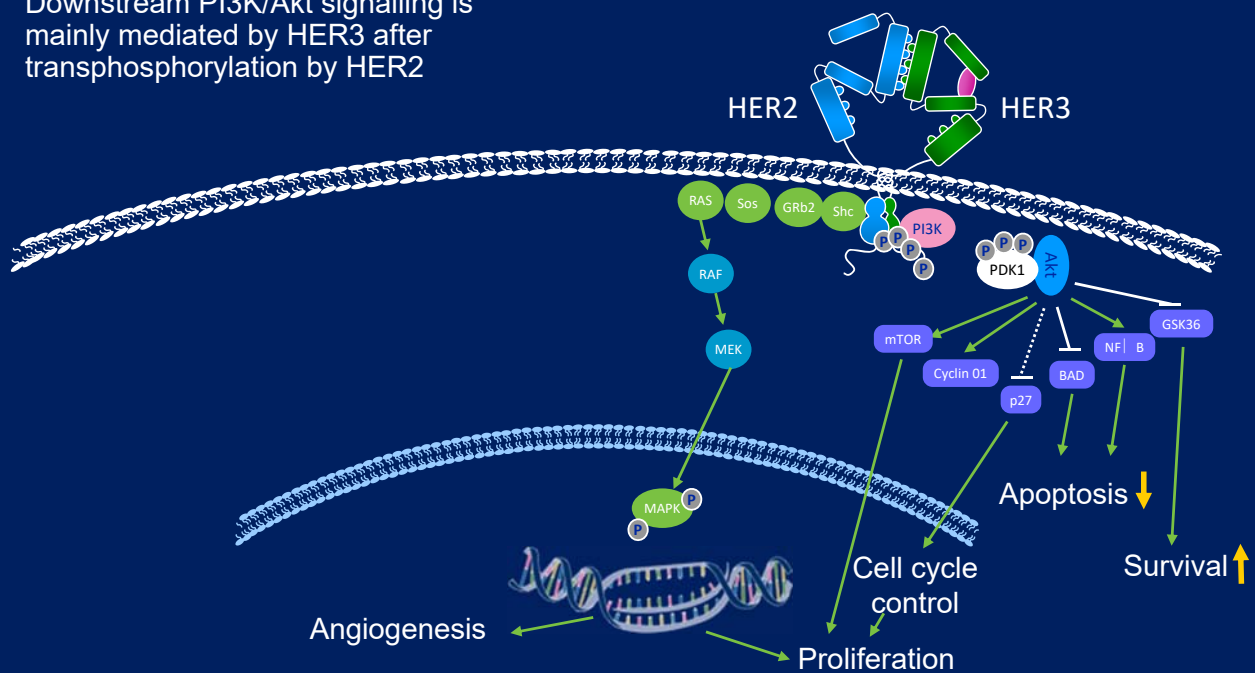
HER2:HER3 dimers initiate the strongest mitogenic signaling



Tzahar et al. Mol Cell Biol 1996;16:5276–5287; Citri et al. Exp Cell Res 2003;284:54–65; Huang et al. Cancer Res 2010;70:1204–1214 25

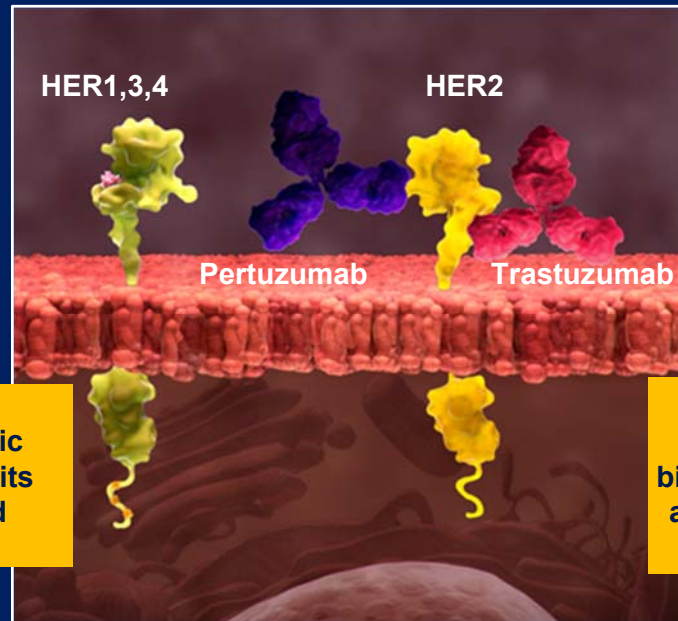
HER2:HER3 dimerization initiates multiple signalling pathways, including increased tumor cell proliferation

Downstream PI3K/Akt signalling is mainly mediated by HER3 after transphosphorylation by HER2



Yarden & Sliwkowski. Nat Rev Mol Cell Biol 2001;2:127–137; Olayioye et al. EMBO J 2000;19:3159–3167
Kim et al. J Biol Chem 1994;269:24747–24755; Soltoff et al. Mol Cell Biol 1994;14:3550–3558
Baselga & Swain. Nat Rev Cancer 2009;9:463–475; Rowinsky. Ann Rev Med 2004;55:433–457

HER2 dual blockade: Trastuzumab and pertuzumab bind to different HER2 domains, with **complementary mechanisms of action**



Pertuzumab binds to a specific domain and inhibits ligand-activated dimerization²

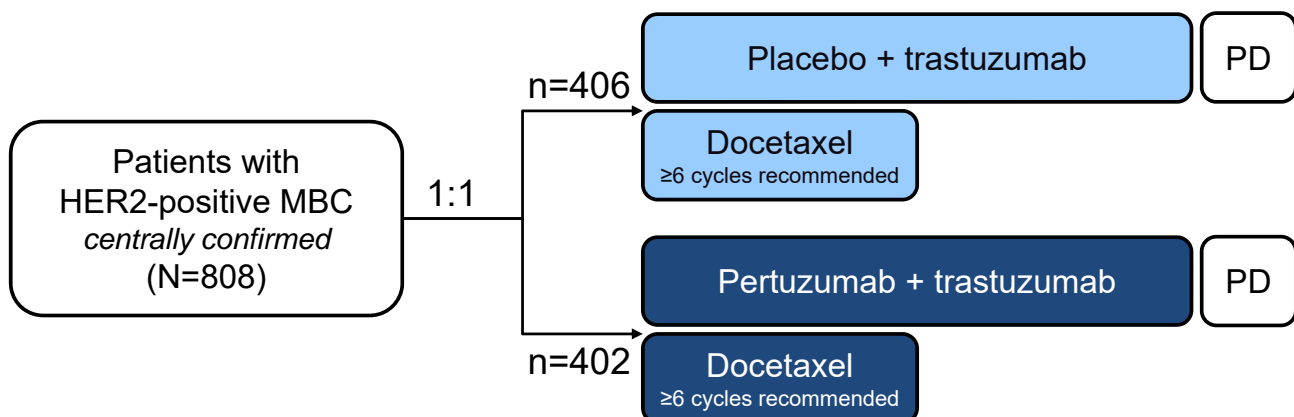
Trastuzumab binds to subdomain IV and activates ADCC¹

The pertuzumab-trastuzumab regimen offers a more comprehensive HER2 blockade³

1. Cho et al. *Nature* 2003; 421:756–760; 2. Franklin, et al. *Cancer Cell* 2004; 5:317–328; 3. Baselga et al. *Clin Breast Cancer* 2010; 10:489–491.

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CLEOPATRA study design

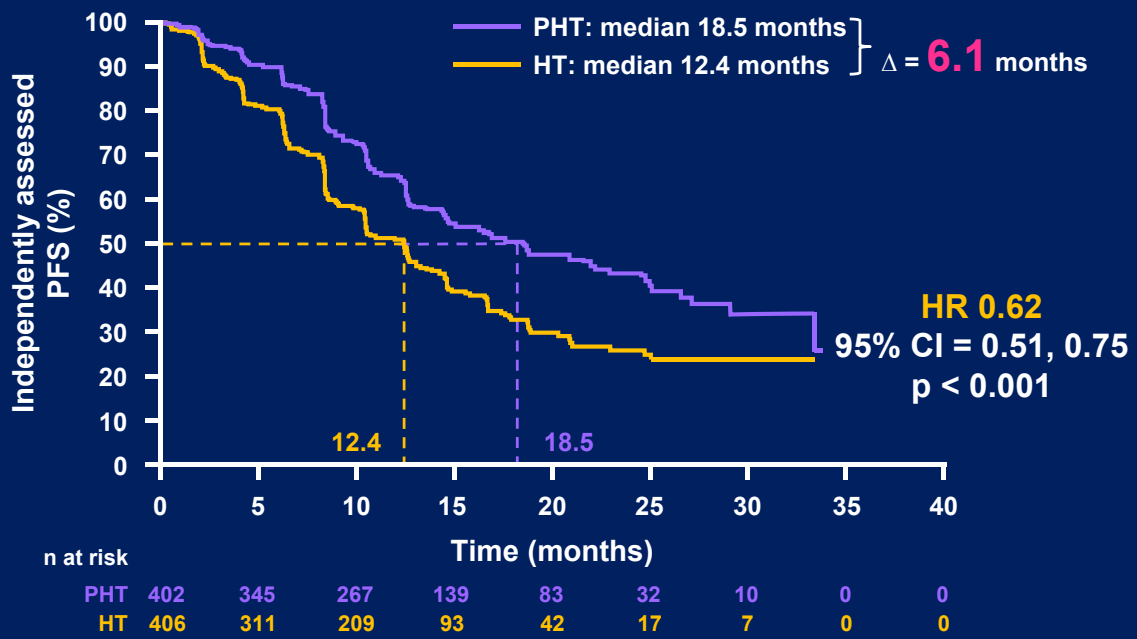


- **Stratification factors:** geographic region, prior treatment status (neo/adjuvant chemotherapy received or not)
- **Primary endpoints:** Independently assessed PFS
- **Key secondary endpoints:** Overall survival, PFS by investigator assessment, safety

Baselga et al. *N Engl J Med.* 2012;366:109-19

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CLEOPATRA primary endpoint: Independently assessed PFS

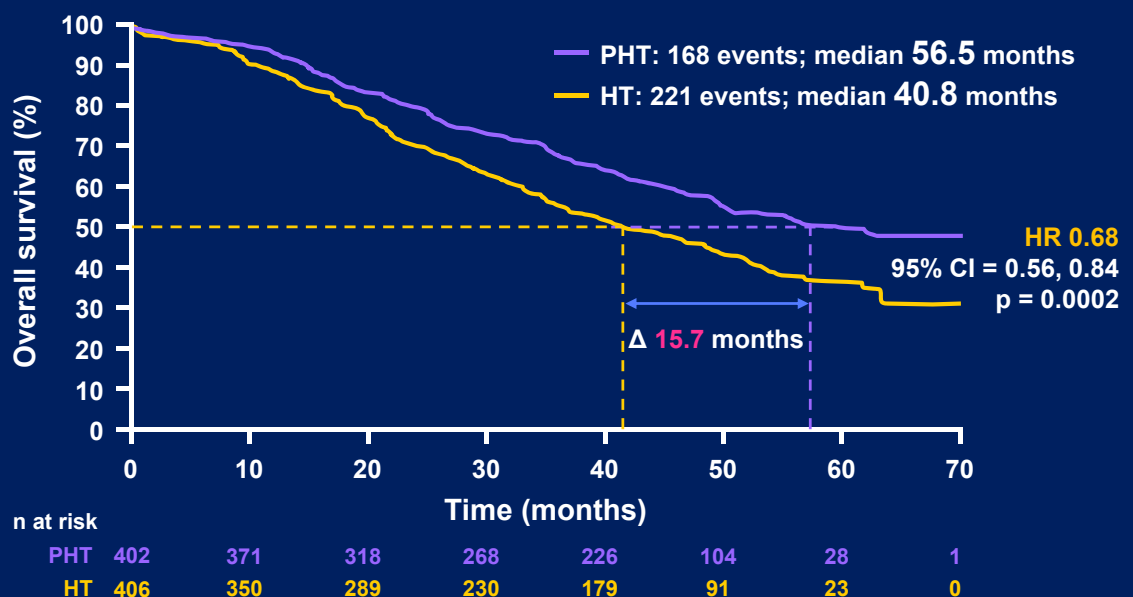


Baselga et al. *N Engl J Med.* 2012;366:109-19

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CLEOPATRA: final overall survival analysis

Median follow-up 50 months



Swain et al. *N Engl J Med.* 2015;372:724-34

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Adverse events (all grades) with $\geq 25\%$ incidence or $\geq 5\%$ difference between arms

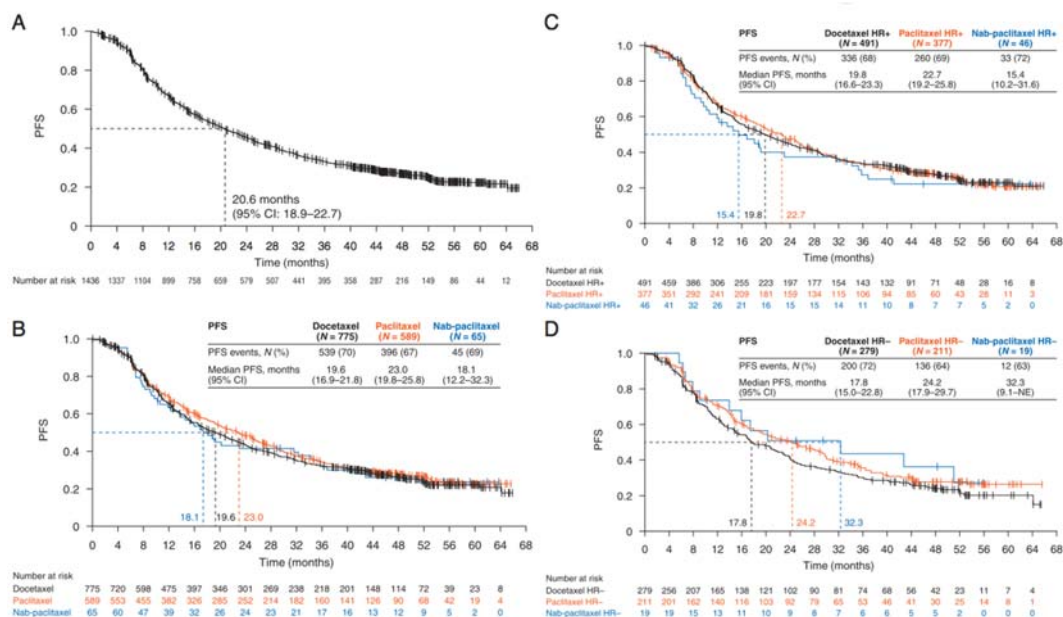
n (%)	Placebo + trastuzumab + docetaxel _{SEP} (n=396)	Pertuzumab + trastuzumab + docetaxel _{SEP} (n=408)
Diarrhea	191 (48.2)	278 (68.1)
Alopecia	240 (60.6)	248 (60.8)
Neutropenia	197 (49.7)	216 (52.9)
Nausea	168 (42.4)	179 (43.9)
Fatigue	148 (37.4)	155 (38.0)
Rash	95 (24.0)	149 (36.5)
Decreased appetite	105 (26.5)	121 (29.7)
Mucosal inflammation	79 (19.9)	112 (27.5)
Asthenia	121 (30.6)	110 (27.0)
Vomiting	97 (24.5)	104 (25.5)
Peripheral edema	122 (30.8)	101 (24.8)
Pruritus	40 (10.1)	68 (16.7)
Constipation	101 (25.5)	63 (15.4)
Febrile neutropenia	30 (7.6)	56 (13.7)
Dry skin	23 (5.8)	44 (10.8)

Baselga et al. *N Engl J Med.* 2012;366:109-19

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PERUSE: first-line pertuzumab combined with trastuzumab and taxane for HER2-positive MBC

Paclitaxel a valid alternative taxane backbone to docetaxel, offering similar PFS and ORR with a predictable safety profile



Ann Oncol. 2019;30:766-773

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PHEREXA study: A phase III Study of Trastuzumab (H) + Capecitabine (X) ± Pertuzumab (P) after One Line of H-Based Therapy in the HER2-Positive MBC

- HER2-positive MBC (centrally confirmed)
- Prior taxane and H
- Progression during or after H-based therapy for MBC

N = 452

1
1

Arm A:
H (8 mg/kg → 6 mg/kg) + X (1,250 mg/m²)
n = 224

Arm B:
H (8 mg/kg → 6 mg/kg) + X (1,000 mg/m²)
+ P (840 mg → 420 mg)
n = 228

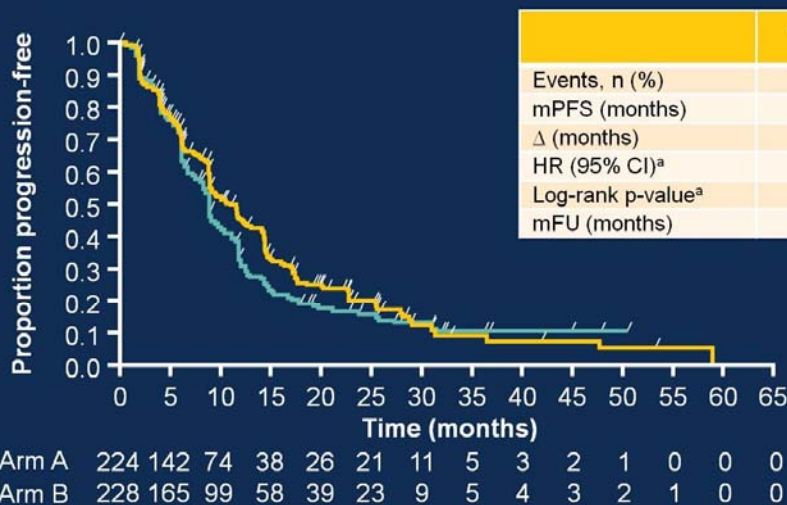
First pt included: Jan 30, 2010
Last pt included: Aug 12, 2013
Clinical cut-off: May 29, 2015

PRESENTED AT: ASCO ANNUAL MEETING '16
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Presented by Ander Urruticoechea

Presented By Ander Urruticoechea at 2016 ASCO Annual Meeting

Primary endpoint: PFS by independent review in ITT population



	Arm A: H + X (n = 224)	Arm B: H + X + P (n = 228)
Events, n (%)	158 (71)	168 (74)
mPFS (months)	9.0	11.1
Δ (months)		2.1
HR (95% CI) ^a		0.82 (0.65–1.02)
Log-rank p-value ^a		0.07
mFU (months)	28.6	25.3

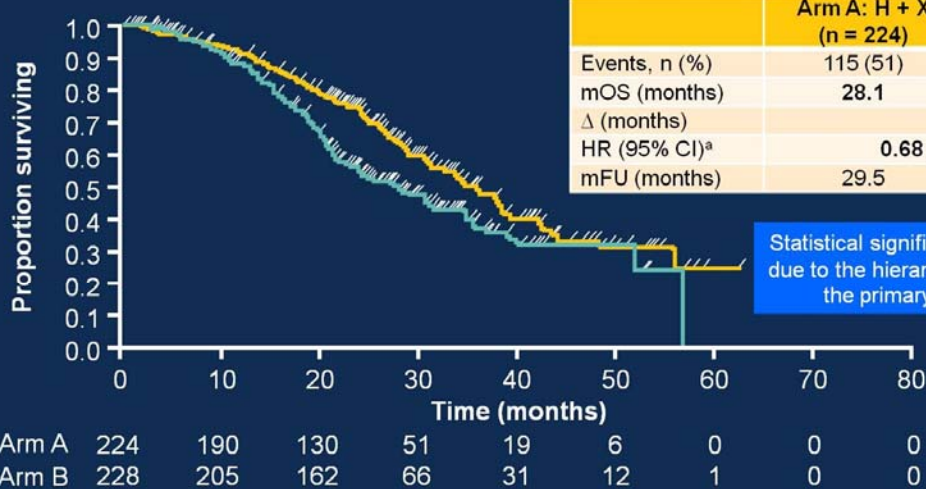
^a Stratified. CI, confidence interval; FU, follow-up.

PRESENTED AT: ASCO ANNUAL MEETING '16
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Secondary endpoint: OS in ITT population



Statistical significance cannot be claimed due to the hierarchical testing of OS after the primary IRF PFS endpoint

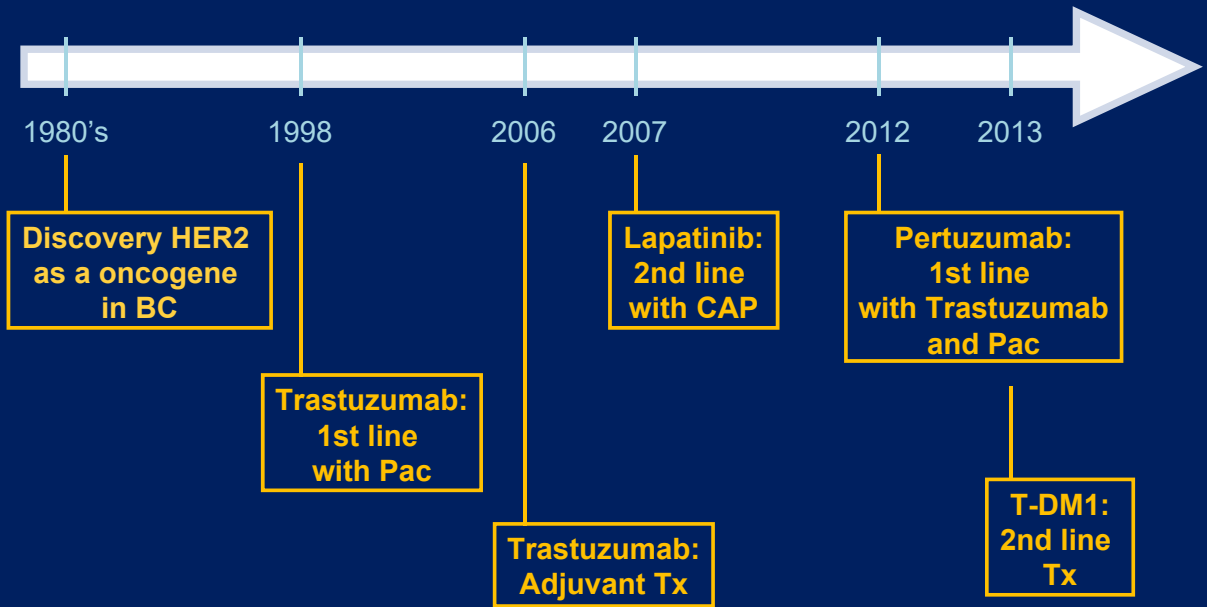
^a Stratified.

PRESENTED AT: ASCO ANNUAL MEETING '16

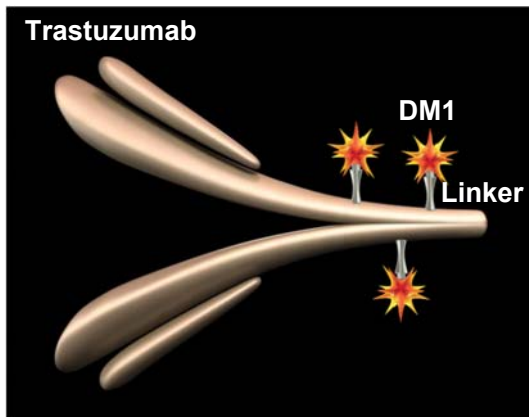
Presented by Ander Urruticoechea

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Milestone of HER2-targeting agent in MBC



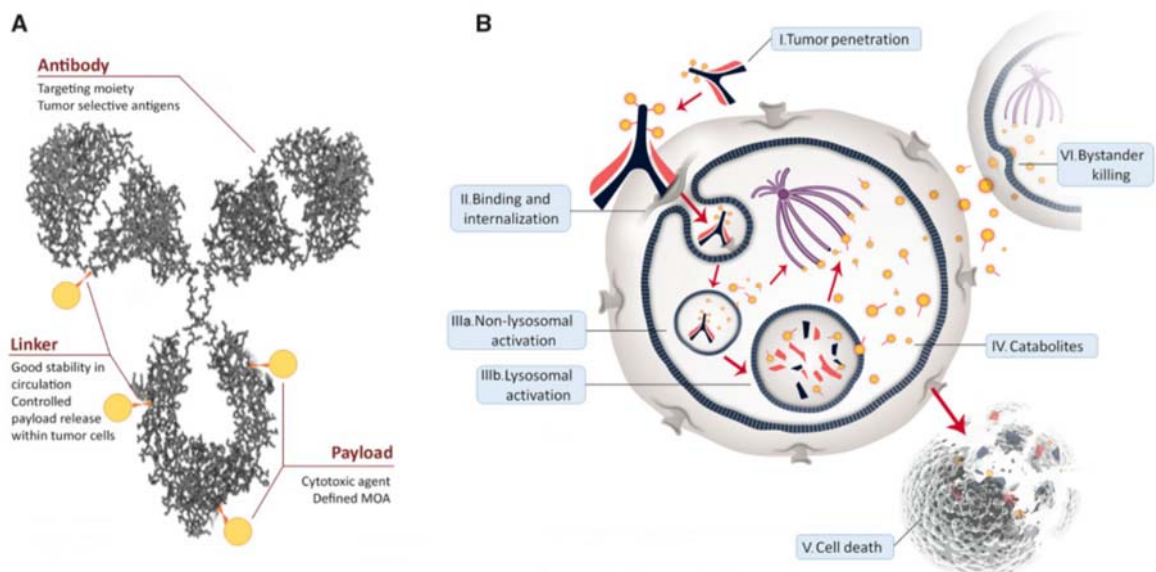
Trastuzumab Emtansine (T-DM1)



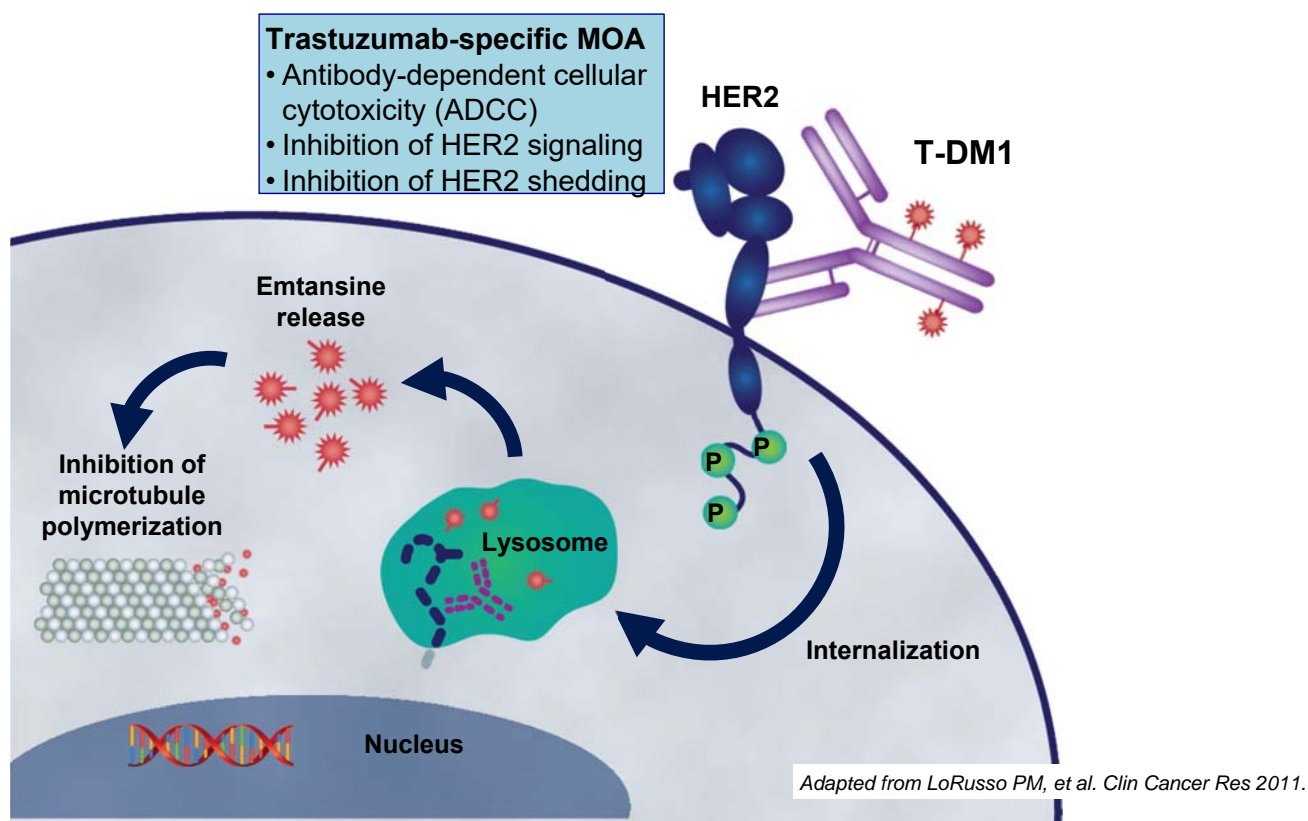
- Novel antibody drug-conjugate.
- Trastuzuman linked to DM1, a microtubule inhibitor up to 400-fold more potent than paclitaxel.
- Average of 3.5 DM1 per antibody.
- T-DM1 binds o HER2 wit affinity similar to trastuzumab.

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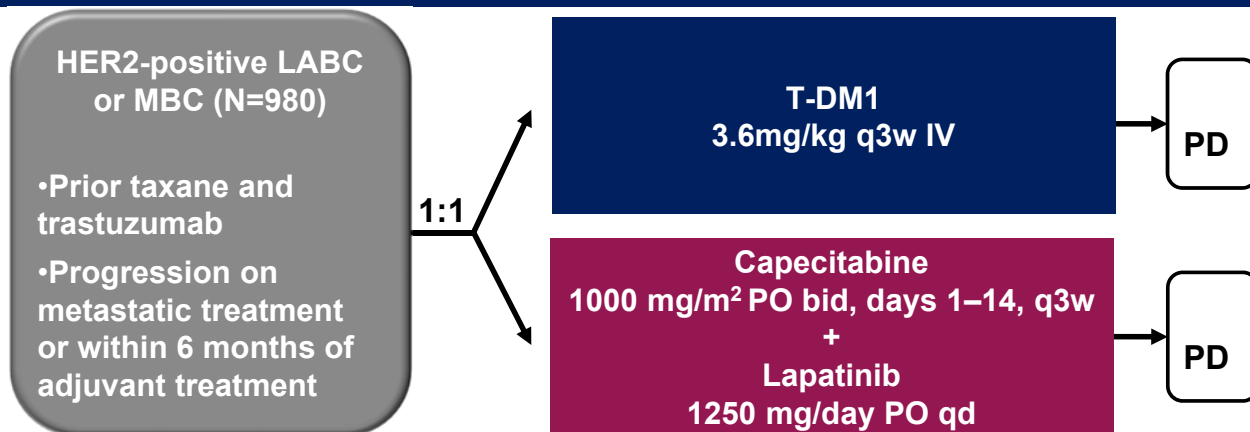
Antibody-drug conjugates (ADCs) structure and mechanism of action



Trastuzumab Emtansine (T-DM1): mechanism of action



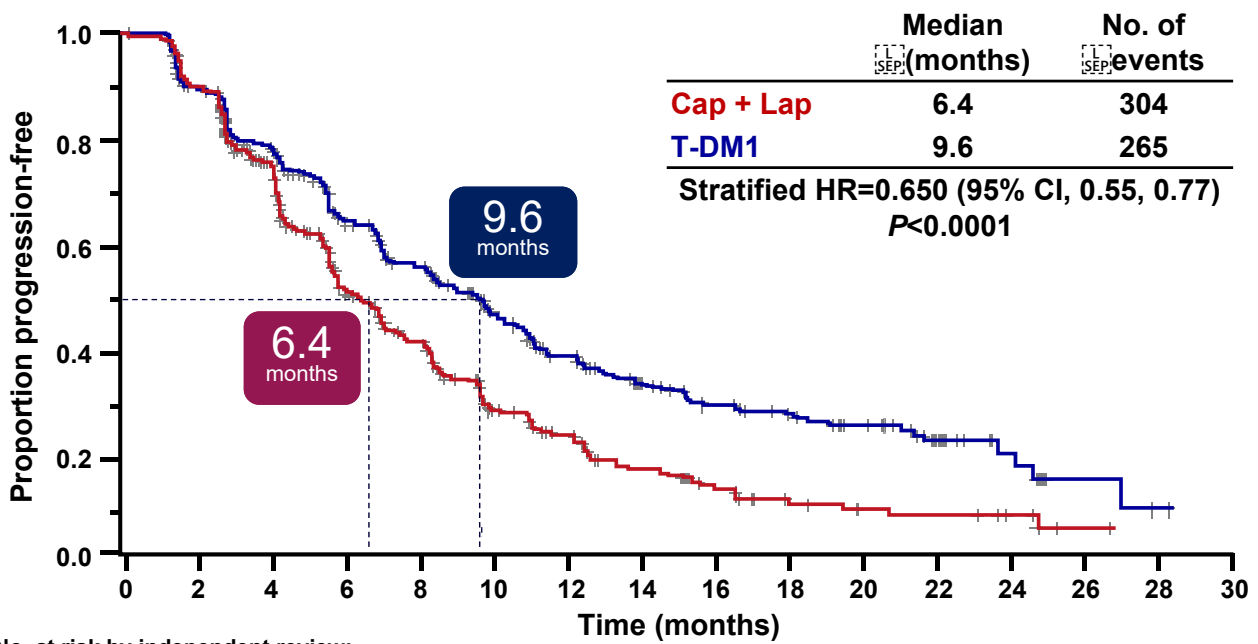
EMILIA Study Design



- **Stratification factors:** World region, number of prior chemo regimens for MBC or unresectable LABC, presence of visceral disease
- **Primary endpoints:** PFS by independent review, OS, and safety
- **Key secondary endpoints:** PFS by investigator, ORR, DOR

S. Verma et al. N Engl J Med 2012;367:1783-91

EMILIA: PFS by Independent Review



No. at risk by independent review:

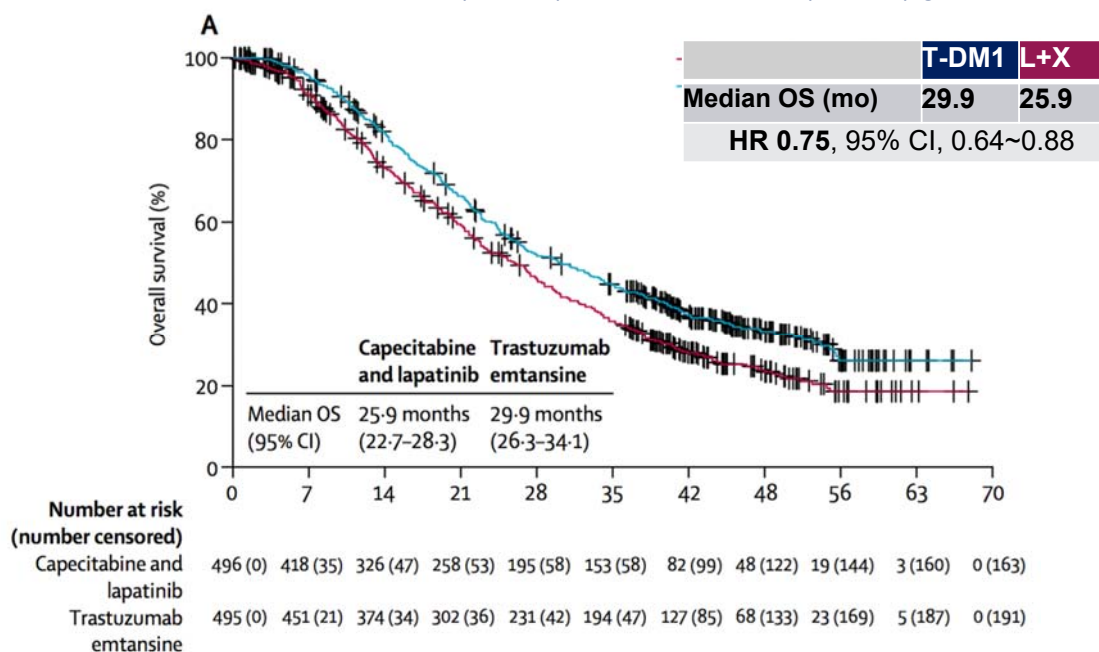
Cap + Lap	496	404	310	176	129	73	53	35	25	14	9	8	5	1	0	0
T-DM1	495	419	341	236	183	130	101	72	54	44	30	18	9	3	1	0

S. Verma et al. *N Engl J Med* 2012;367:1783-91

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Overall survival of ITT population

Median follow-up 41.9 (control) and 47.8 months (T-DM1) group



Lancet Oncol. 2017;18:732-742

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Adverse Events

Grade ≥3 AEs With Incidence ≥2%

Adverse Event	Cap + Lap (n=488)		T-DM1 (n=490)	
	All Grades, %	Grade ≥3, %	All Grades, %	Grade ≥3, %
Diarrhea	79.7	20.7	23.3	1.6
Hand-foot syndrome	58.0	16.4	1.2	0.0
Vomiting	29.3	4.5	19.0	0.8
Neutropenia	8.6	4.3	5.9	2.0
Hypokalemia	8.6	4.1	8.6	2.2
Fatigue	27.9	3.5	35.1	2.4
Nausea	44.7	2.5	39.2	0.8
Mucosal inflammation	19.1	2.3	6.7	0.2
Thrombocytopenia	2.5	0.2	28.0	12.9
Increased AST	9.4	0.8	22.4	4.3
Increased ALT	8.8	1.4	16.9	2.9
Anemia	8.0	1.6	10.4	2.7

S. Verma et al. N Engl J Med 2012;367:1783-91

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T-DM1 is **NOT** associated with typical chemotherapy toxicity

- No alopecia
- Significant nausea, diarrhea, fatigue, neutropenia, neuropathy are rare (<3% patients)
- Cardiac toxicity rare (<2%)

S. Verma et al. N Engl J Med 2012;367:1783-91

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MARIANNE study Design

- HER2-positive (central) LABC^a or MBC
 - No prior chemotherapy for LABC/MBC
 - >6 months from prior neo-/adjuvant vinca alkaloid or taxane chemotherapy
- N = 1095

Trastuzumab + docetaxel (n=365)
 (8 mg/kg LD then 6 mg/kg + 100 or 75 mg/m² q3w) **OR**
Trastuzumab + paclitaxel
 (4 mg/kg LD then 2 mg/kg + 80 mg/m² qw)

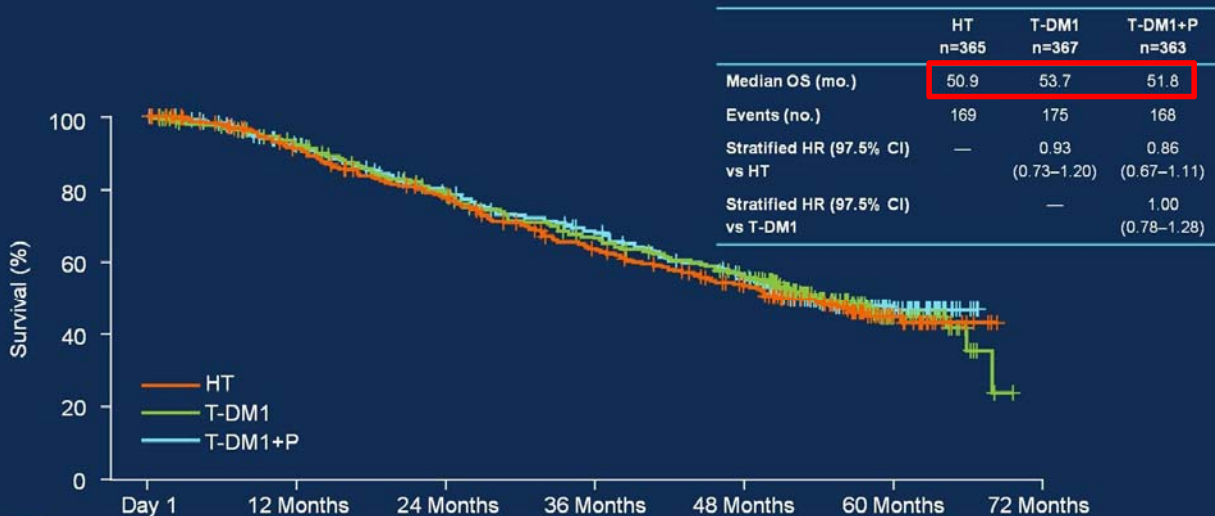
T-DM1 + placebo^b (n=367)
 (3.6 mg/kg + 840 mg LD then 420 mg q3w)

T-DM1 + pertuzumab (n=363)
 (3.6 mg/kg + 840 mg LD then 420 mg q3w)

- Stratification factors: World region, Prior neo-/adjuvant therapy (if Yes: prior trastuzumab/lapatinib), Visceral disease
- Primary end point: PFS by independent review facility (IRF), non-inferiority and superiority assessed
- Key secondary end points: OS, PFS by investigator, ORR, Safety, Patient-reported outcomes

Edith Perez et al. at 2017 ASCO Annual Meeting

MARIANNE: final analysis of overall survival



No. at Risk	Day 1	12 Months	24 Months	36 Months	48 Months	60 Months	72 Months
HT	365	303	251	197	155	28	
T-DM1	367	322	264	216	176	37	
T-DM1+P	363	309	257	217	172	41	

Edith Perez et al. at 2017 ASCO Annual Meeting

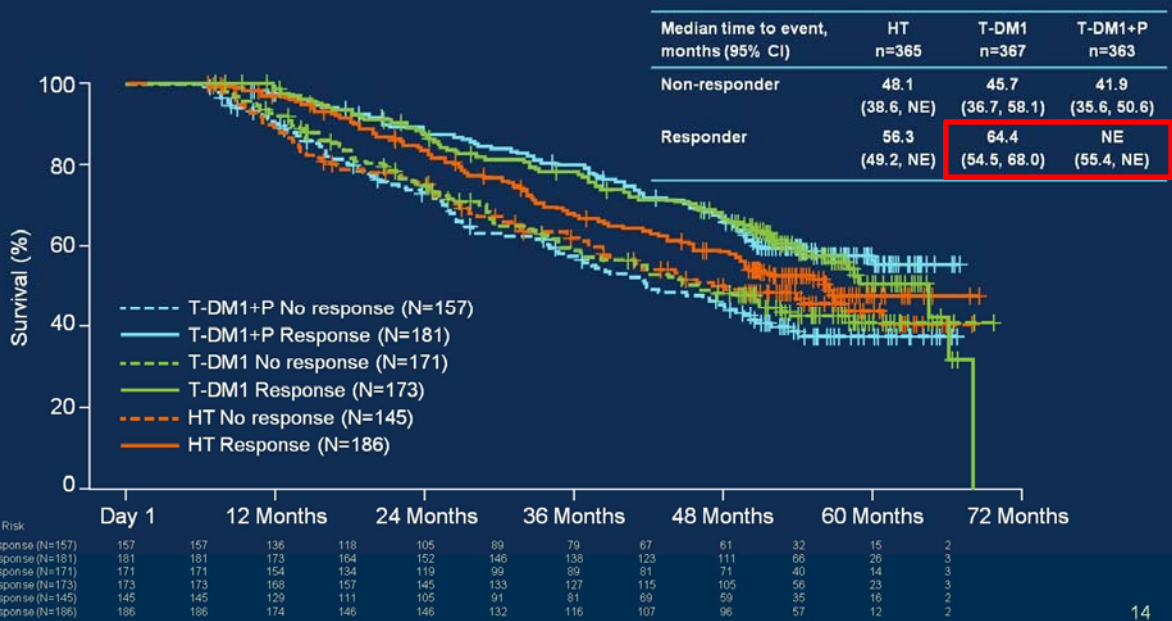
MARIANNE: landmark analysis of overall survival

	HT (n=365)	T-DM1 (n=367)	T-DM1+P (n=363)
At primary PFS analysis ¹			
ORR, %	67.9	59.7	64.2
Median DOR, months	12.5	20.7	21.2

- Does this longer DOR in T-DM1 patients translate into OS?
- An exploratory post-hoc Landmark analysis was conducted
 - Landmark time was 6.5 months from randomization (~ 3 tumor assessments)
 - At 6.5 months, less than 10% of patients had died or dropped out (HT 9%; T-DM1 6%; T-DM1 + P 7%) while most of the responses had already been observed (HT 95%; T-DM1 96%; T-DM1 + P 94%)

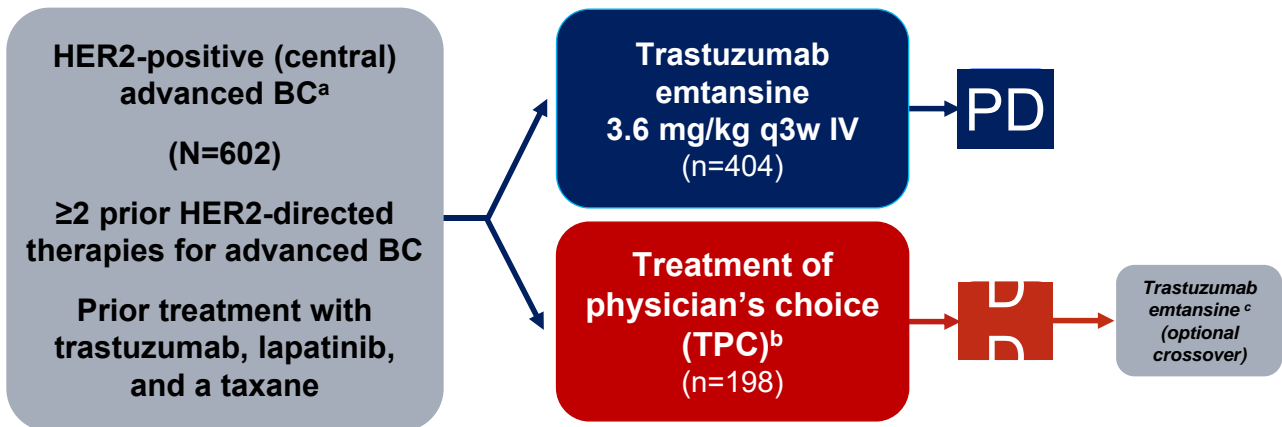
Edith Perez et al. at 2017 ASCO Annual Meeting

MARIANNE: landmark analysis of overall survival



Edith Perez et al. at 2017 ASCO Annual Meeting

TH3RESA

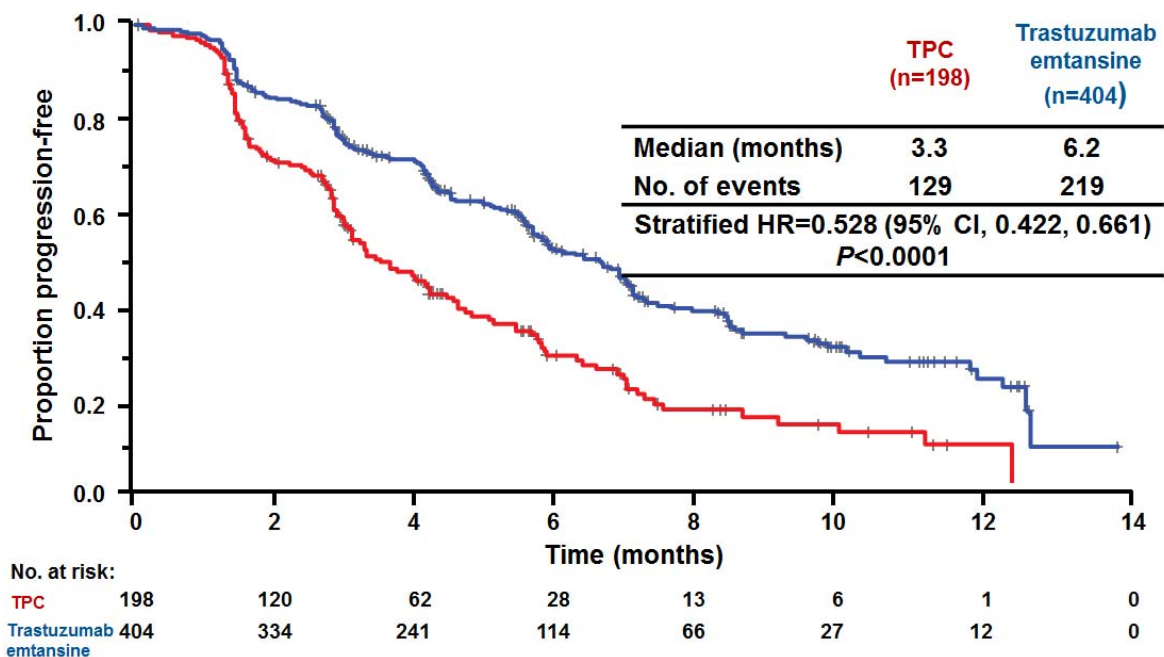


- Stratification factors: World region, number of prior regimens for advanced BC,^d presence of visceral disease
- Co-primary endpoints: PFS by investigator and OS
- Key secondary endpoints: ORR by investigator and safety

I.Krop et al. Lancet Oncol. 2017;18:732-742

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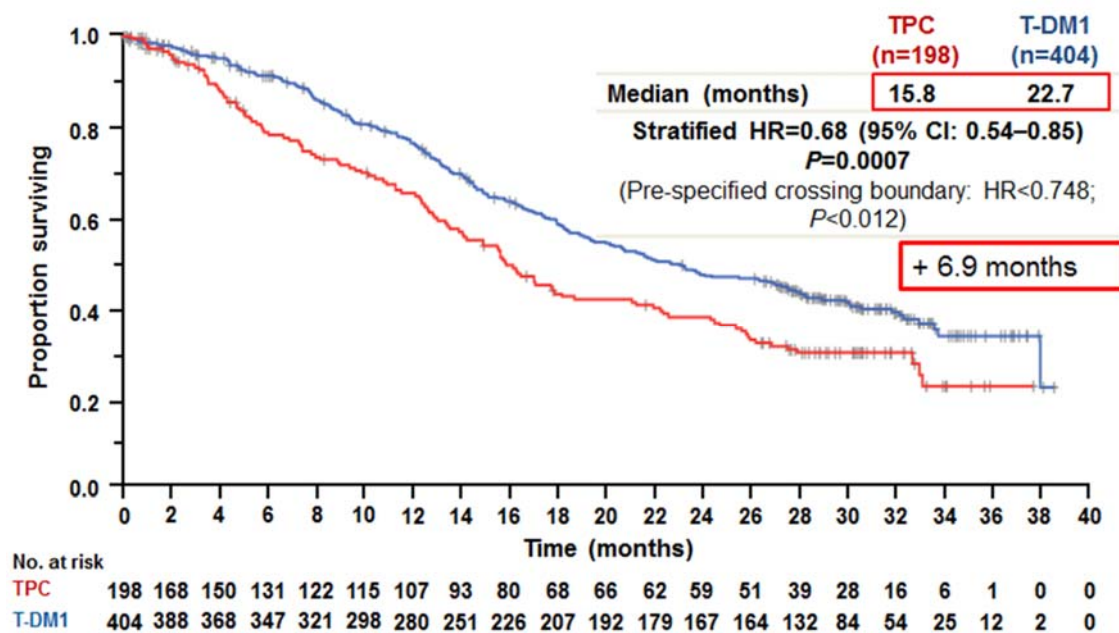
TH3RESA: progression free survival



I.Krop et al. Lancet Oncol. 2017;18:732-742

50

TH3RESA: overall survival



I.Krop et al. Lancet Oncol. 2017;18:732-742

Pivotal study result of T-DM1

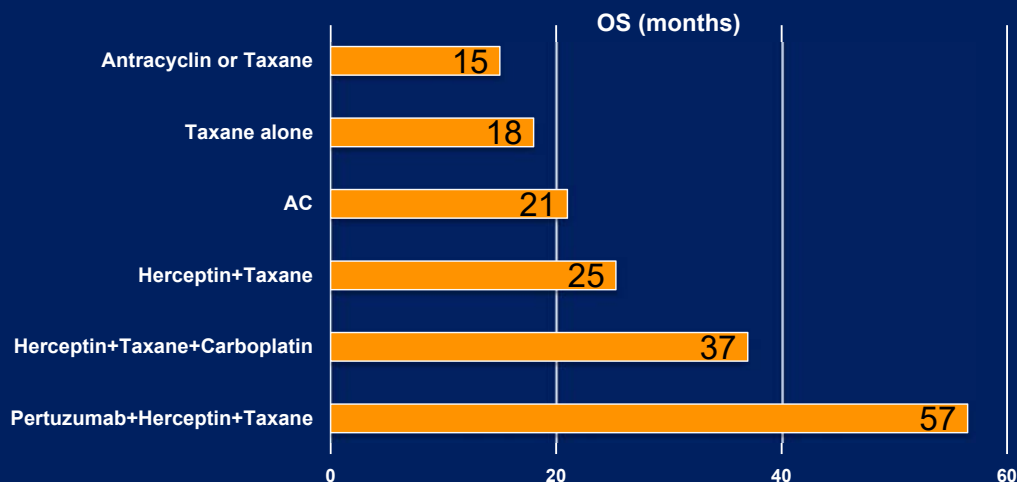
Trial	MARIANNE	EMILIA	TH3RESA
Setting	1st line	2nd line	3rd line
Study arm	T-DM1 T-DM1+P H+Taxane	T-DM1 Lap+CAP	T-DM1 TPC
Overall response rate	59.7% 64.2% 67.9%	43.6% 30.8%	31.3% 8.6%
Progression-free survival	14.1M 15.2M 13.7M	9.6M* 6.4M (HR 0.65)	6.2M* 3.3M (HR 0.52)
Overall survival	53.7M 41.8M 50.9M	29.9M* 25.9M (HR 0.69)	22.7M* 15.8M (HR 0.68)

Results of key trials determining clinical practice in advanced/metastatic HER2+ disease

Trial	n	Line of treatment	Treatment arms	PFS	OS
CLEOPATRA	808	1st line; TFI > 12 months	PTH vs TH ^{1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22,23,24,25,26,27,28,29,30,31,32,33,34,35,36,37,38,39,40,41,42,43,44,45,46,47,48,49,50,51,52,53,54,55,56,57,58,59,60,61,62,63,64,65,66,67,68,69,70,71,72,73,74,75,76,77,78,79,80,81,82,83,84,85,86,87,88,89,90,91,92,93,94,95,96,97,98,99,100}	18.7 m vs 12.4m (HR 0.62) (0.51–0.75)	56.5m vs 40.8 m (HR 0.68) (0.56–0.84)
EMILIA	991	2nd line	T-DM1 vs Cap + Lap	9.6m vs 6.4m (HR 0.65) (0.55–0.77)	29.9 m vs. 25.9 m (HR 0.75) (0.64–0.88)
TH3RESA	602	≥2nd line (after progression on taxane, trastuzumab and lapatinib)	T-DM1 vs physician's choice	6.2 M vs 3.3m (HR 0.53) (0.42–0.66)	22.7m vs. 15.8 m (HR 0.68) (0.54–0.85)
EGF100151	399	≥2nd line (after progression on trastuzumab, taxane and anthracycline)	Cap+ Lap vs Cap	8.2m vs.4.4m (HR 0.49) (0.34–0.71)	74wk vs. 64.7wk (HR 0.87) (0.70-1.07)
EGF104900	296	≥2nd line (after progression on trastuzumab)	T+ Lap+ vs. Lap	11 wks vs. 8 wks (HR 0.73) (0.57–0.93)	14 vs. 10 months (HR 0.75) (0.53–1.07)

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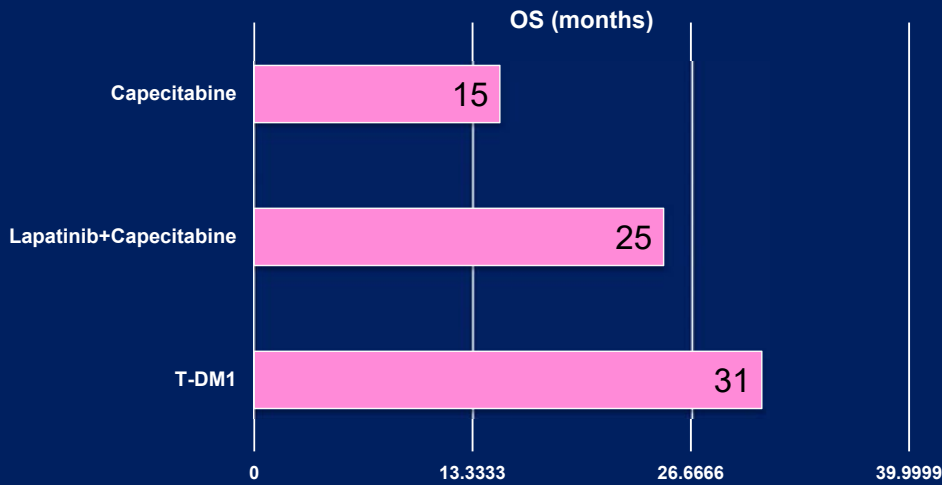
Recent survival improvements in 1L HER2+ MBC



1. Chan S, et al. *J Clin Oncol* 1999; 17:2341–2354
2. Slamon DJ, et al. *N Engl J Med* 2001; 344:783–792
3. Marty M, et al. *J Clin Oncol* 2005; 23:4265–4274
4. Swain S, et al. *N Engl J Med* 2015; 372:724–734
5. Valero V, et al. *J Clin Oncol* 2011; 29:149–156.

54

Recent survival improvements in 2L HER2+ MBC



1. Cameron D, et al. *Breast Cancer Res Treat* 2008; 112:533–543
2. Verma S, et al. *N Engl J Med* 2012; 367:1783–1791
3. Erratum, *N Engl J Med* 2013; 368:2442

55

Real World Data on OS in MBC

OS (m)	Year of Diagnosis					
	2008	2009	2010	2011	2012	2013
HR+ HER2- (N=9.908)	43.7 (40.2-46.6)	42.0 (38.9-44.6)	40.9 (38.0-43.4)	42.0 (39.2-45.0)	44.5 (41.8-47.3)	40.3 (37.8-ND)
HER2+ (N=2.861)	38.6 (33.6-44.6)	42.3 (38.3-50.8)	40.1 (35.2-45.6)	42.3 (36.5-49.8)	51.1 (46.5-ND)	Not Reached
HR- HER2- (N=2.317)	15.1 (12.7-16.4)	15.1 (13.0-17.4)	14.7 (13.2-17.0)	14.0 (11.4-15.9)	13.9 (11.4-15.9)	14.1 (12.5-15.5)

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Delalage S, et al. ASCO 2017.

Outline

Milestone of targeting HER2 in MBC

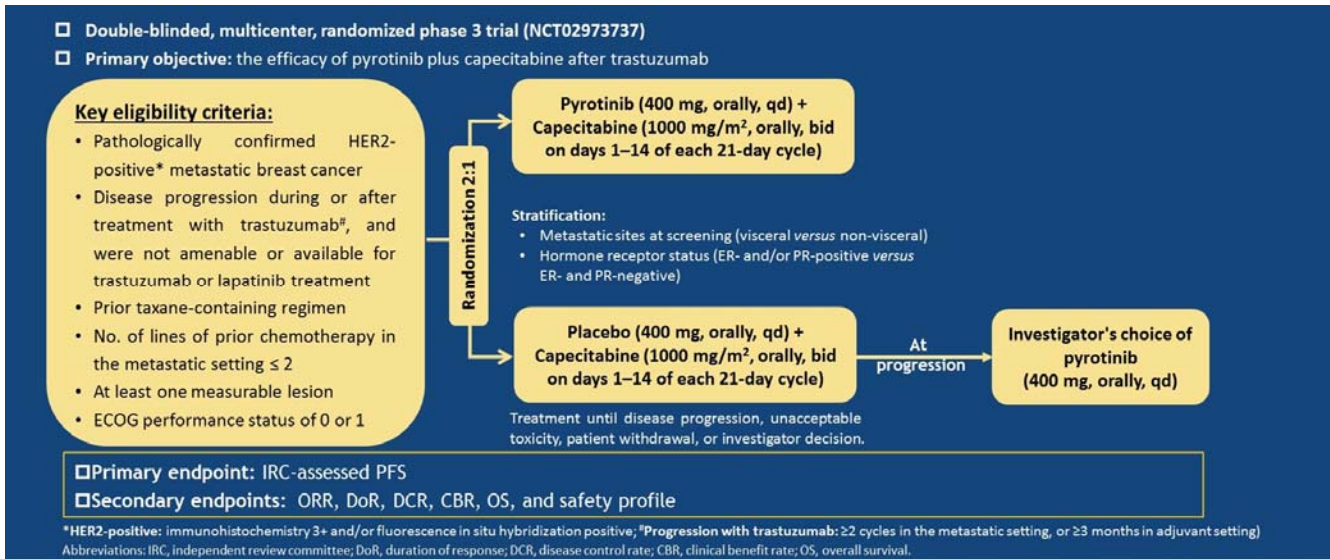
Recent advance in HER2 treatment

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Anti-HER2 directed TKI in Development

Agent	Target	Reported results of efficacy in HER2-positive advanced disease	CNS ORR (monotherapy)	CNS ORR in combination with capecitabine	Phase of development
Neratinib ^{60,61}	Irreversible pan-HER	Single-agent ORR 56% (phase II)	8%	49% (phase II)	US FDA approved only in the adjuvant setting III (metastatic) NALA-NCT01808573
Tucatinib ⁶²⁻⁶⁴	Selectively inhibits HER2 relative to EGFR	In combination with capecitabine and trastuzumab: ORR 61% PFS of 7.8m In combination with T-DM1: ORR 48% PFS 8.2 m (phase Ib)	5-9% (+trastuzumab)	42% (+trastuzumab)	II HER2CLIMB-NCT02614794
Pyrotinib ⁶⁵	Irreversible pan-HER	Single-agent ORR 50%, CBR 61%, PFS 35.4 w (phase I) In combination with capecitabine ORR 78.5% PFS 18 m (phase II)	NA	NA	III NCT003080805
Pozotinib ⁶⁴	Irreversible pan-HER	Single-agent DCR 75% PFS 4 m (phase III)	NA	NA	II

PHENIX Study Design: Pyrotinib combined with capecitabine in HER2+ MBC previously treated with trastuzumab and taxanes



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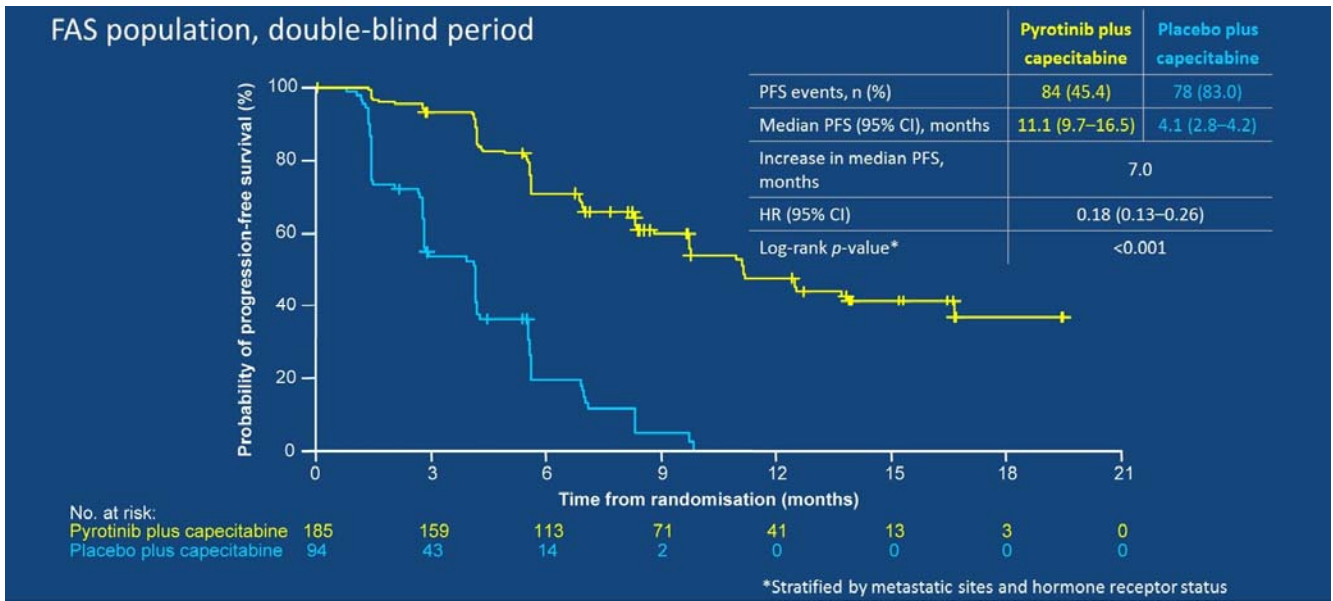
PHENIX study: baseline characteristics and previous treatment

	Pyrotinib plus capecitabine (n=185)	Placebo plus capecitabine (n=94)		Pyrotinib plus capecitabine (n=185)	Placebo plus capecitabine (n=94)
Age, median (range), years	50 (24–70)	50 (20–71)	Previous trastuzumab therapy, n (%)	185 (100)	94 (100)
ECOG performance status, n (%)			For advanced disease	114 (61.6)	63 (67.0)
0	80 (43.2)	30 (31.9)	As neo/adjuvant therapy	85 (45.9)	40 (42.6)
1	105 (56.8)	64 (68.1)	Both	14 (7.6)	9 (9.6)
Hormone receptor status, n (%)			Duration of trastuzumab therapy		
ER- and/or PR-positive	100 (54.1)	51 (54.3)	n	98	57
ER- and PR-negative	85 (45.9)	43 (45.7)	Median (range), days	170 (2–2154)	144 (1–701)
Metastatic sites at screening, n (%)			<6 weeks, n (%)	13 (13.3)	10 (17.5)
Visceral	147 (79.5)	72 (76.6)	6–12 weeks, n (%)	13 (13.3)	11 (19.3)
Non-visceral	38 (20.5)	22 (23.4)	>12 weeks, n (%)	72 (73.5)	36 (63.2)
Brain metastases			Previous therapy in the metastatic setting		
Present at screening, n	21	10	n	125	72
Received local therapy, n (%)	6 (28.6)	2 (20.0)	1 line, n (%)	70 (56.0)	47 (65.3)
Did not receive local therapy, n (%)	15 (71.4)	8 (80.0)	2 lines, n (%)	44 (35.2)	18 (25.0)
			3 or 4 lines, n (%)	3 (2.4)	2 (2.8)
			Other, n (%)	8 (6.4)	5 (6.9)

Abbreviation: ER, estrogen receptor; PR, progesterone receptor.

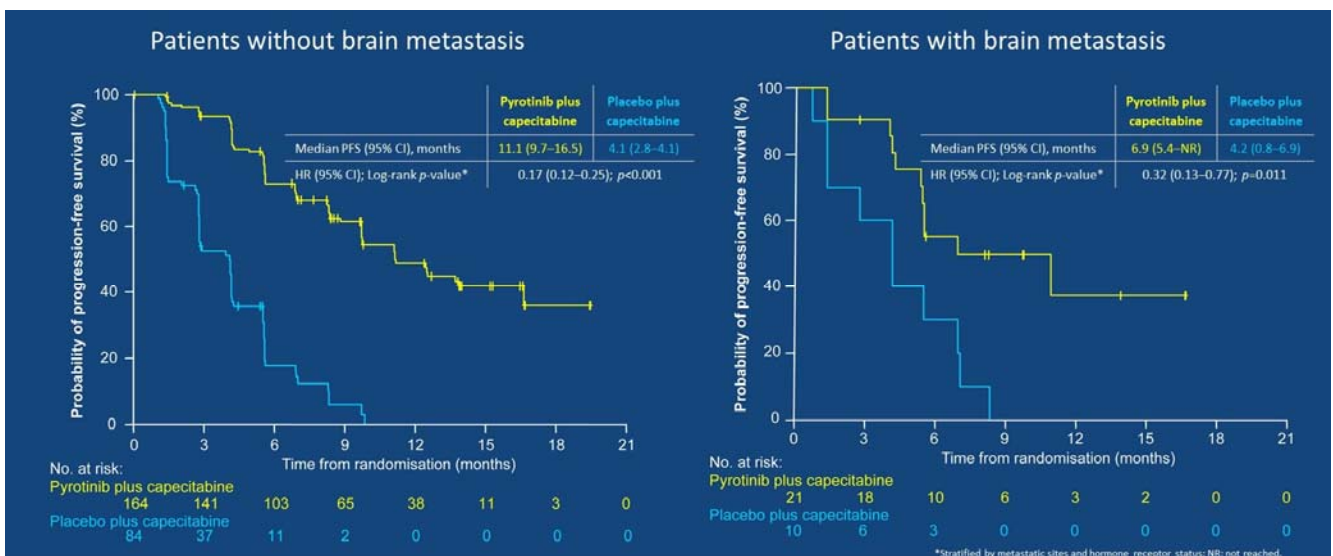
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PHENIX primary endpoint: IRC-assessed PFS



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PHENIX: brain metastasis



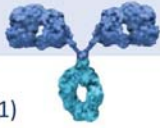
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Margetuximab: Fc-engineered to Activate Immune responses

Trastuzumab

Fab:

- Binds HER2 with high specificity
- Disrupts signaling that drives cell proliferation and survival




Fc:

- Wild-type immunoglobulin G1 (IgG1) immune effector domains
- Binds and activates immune cells

Margetuximab^{1,2}

Fab:

- Same specificity and affinity
- Similarly disrupts signaling



Fc engineering:

- ↑ Affinity for activating FcγRIIIA (CD16A)
- ↓ Affinity for inhibitory FcγRIIB (CD32B)

Margetuximab Binding to FcγR Variants:

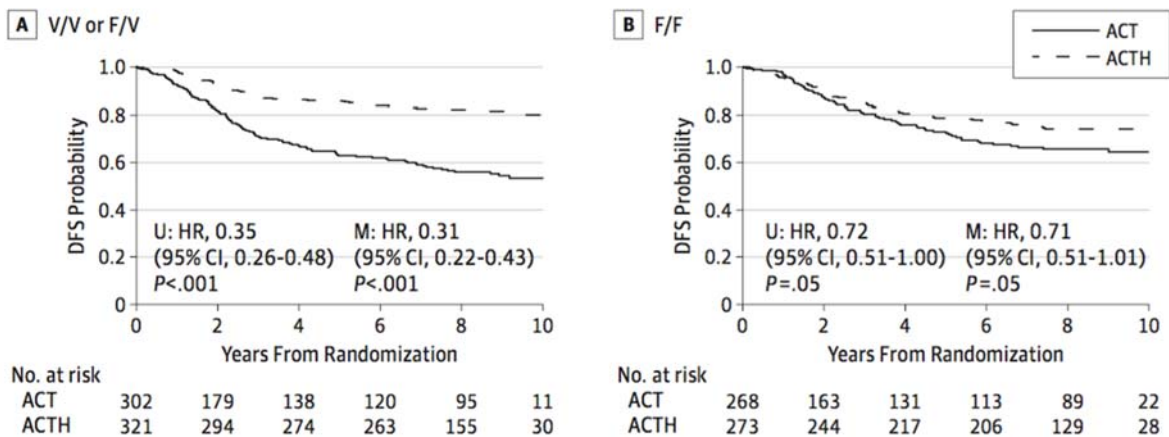
Receptor Type	Receptor	Allelic Variant	Relative Fc Binding	Affinity Fold-Change
Activating	CD16A	158F	Lower	6.6x ↑
		158V	Higher	4.7x ↑
	CD32A	131R	Lower	6.1x ↓
		131H	Higher	↔
Inhibitory	CD32B	232I/T	Equivalent	8.4x ↓

1. Nordstrom JL, et al. *Breast Cancer Res.* 2011;13(6):R123. 2. Stavenhagen JB, et al. *Cancer Res.* 2007;67(18):8882-8890.

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Association of polymorphism in FCGR3A with degree of Trastuzumab benefit: analysis of adjuvant NSABP B-31 trial



Patients with genotypes FCB3A-158V/V or FCB3A-158V/F had greater benefit from trastuzumab (HR, 0.31; 95% CI, 0.22-0.43; P < .001) than homozygous for the low-affinity allele (HR, 0.71; 95% CI, 0.51-1.01; P = .05)

CD16A genotype may predicts anti-HER2 antibody benefit

- Two retrospective studies of HER2+ MBC¹ and early breast cancer² suggest patients with lower affinity CD16A-158F allele have lower PFS and ORR with trastuzumab than those homozygous for higher affinity CD16A-158VV
 - Two other retrospective studies showed no association between FcγR genotypes and outcome with adjuvant trastuzumab in early breast cancer^{3,4}
- **Hypothesis:** Greater margetuximab benefit in lower binding CD16A-158F carriers
 - Increased affinity of margetuximab for CD16A-158F over trastuzumab (wild-type IgG1)
- **SOPHIA is first prospective* analysis of FcγR genotype impact on anti-HER2 antibody efficacy**

*Non-alpha allocating, exploratory analysis.
ORR=objective response rate; PFS=progression-free survival.

1. Musolino A, et al. *J Clin Oncol.* 2008;26(11):1789-1796. 2. Gavin PG, et al. *JAMA Oncol.* 2017;3(3):335-341.
3. Hurvitz SA, et al. *Clin Cancer Res.* 2012;18(12):3478-3486. 4. Norton N, et al. *Cancer Immunol Res.* 2014;2(10):962-969.

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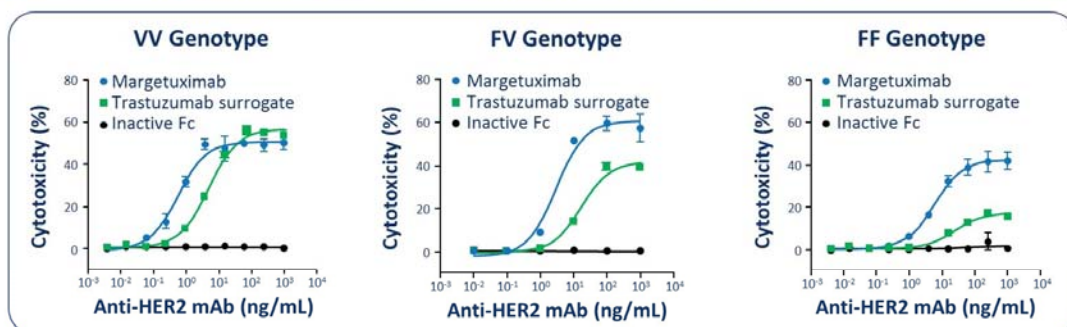
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Margetuximab Enhances Innate Immunity *in Vitro*

Greater relative cytotoxicity of margetuximab with NK cells from CD16A-158F allele carriers



Preclinical Assay of Antibody-Dependent Cellular Cytotoxicity (ADCC)¹

Effector Cells: Human NK cells from donors with CD16A genotypes 158VV, 158FV, and 158FF

Target Cells: JIMT-1 HER2+ breast cancer cell line resistant to trastuzumab antiproliferative activity

Cellular Assay: 3:1 Effector:Target ratio; 24-hour incubation time; endpoint: % lactate dehydrogenase release

mAb=monoclonal antibody; NK=natural killer.

Nordstrom JL, et al. *Breast Cancer Res.* 2011;13(6):R123.

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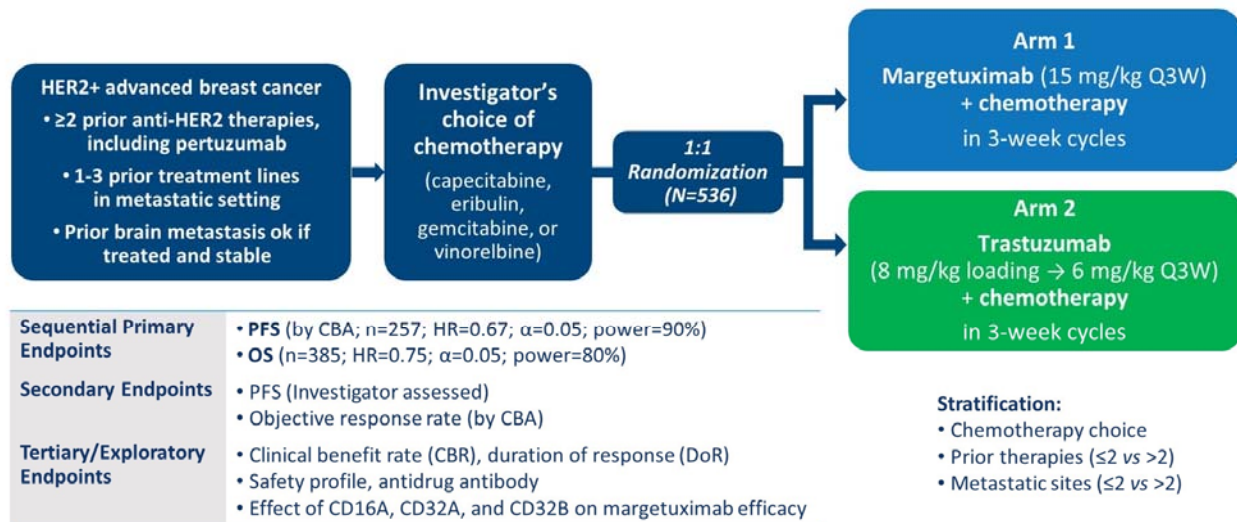
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CP-MGAH22-04 (SOPHIA) Design



HR=hazard ratio; CBA=central blinded analysis.

1. Rugo HS, et al. *J Clin Oncol*. 2016;34(suppl 15):TPS630. 2. Clinicaltrials.gov. NCT02492711. www.clinicaltrials.gov/ct2/show/NCT02492711. Accessed April 8, 2019.

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SOPHIA ITT population: Prior Cancer Therapy

	Margetuximab + Chemotherapy (n=266)	Trastuzumab + Chemotherapy (n=270)
Settings of prior therapy		
Adjuvant and/or neoadjuvant	158 (59%)	145 (54%)
Metastatic only	108 (41%)	125 (46%)
Prior metastatic lines of therapy		
≤2	175 (66%)	180 (67%)
>2	91 (34%)	90 (33%)
Prior anti-HER2 therapy		
Trastuzumab	266 (100%)	270 (100%)
Pertuzumab	266 (100%)	269 (100%)
T-DM1	242 (91%)	247 (92%)
Lapatinib	41 (15%)	39 (14%)
Other HER2	6 (2%)	6 (2%)
Prior chemotherapy		
Taxane	252 (95%)	249 (92%)
Anthracycline	118 (44%)	110 (41%)
Platinum	34 (13%)	40 (15%)
Prior endocrine therapy	126 (47%)	133 (49%)

Treatment arms overall balanced

ITT population: N=536.

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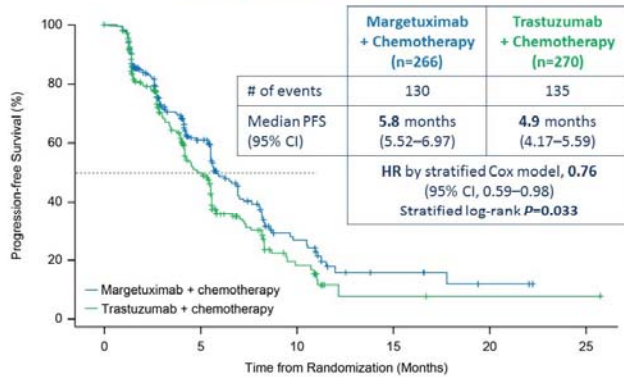
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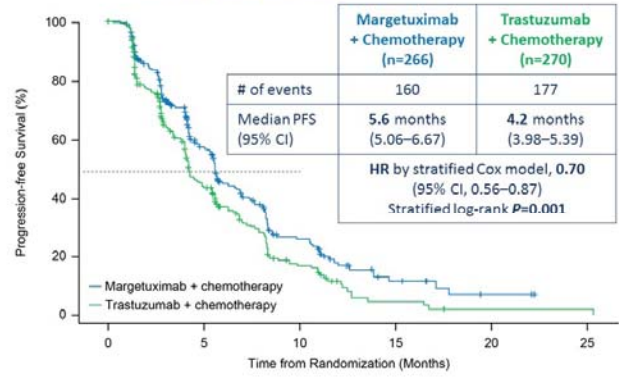
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PFS Analysis in ITT Population

24% Risk Reduction of Disease Progression
Central Blinded Analysis (Primary Endpoint)



30% Risk Reduction of Disease Progression
Investigator Assessed (Secondary Endpoint)



	266	174	94	45	21	8	6	4	2	0		266	206	155	112	72	61	33	32	16	13	8	7	3	2	2	0	
Margetuximab	266	174	94	45	21	8	6	4	2	0	Margetuximab	266	206	155	112	72	61	33	32	16	13	8	7	3	2	2	0	
Trastuzumab	270	158	74	33	13	2	2	1	1	1	Trastuzumab	270	184	130	87	59	45	25	21	10	5	4	3	1	1	1	1	0

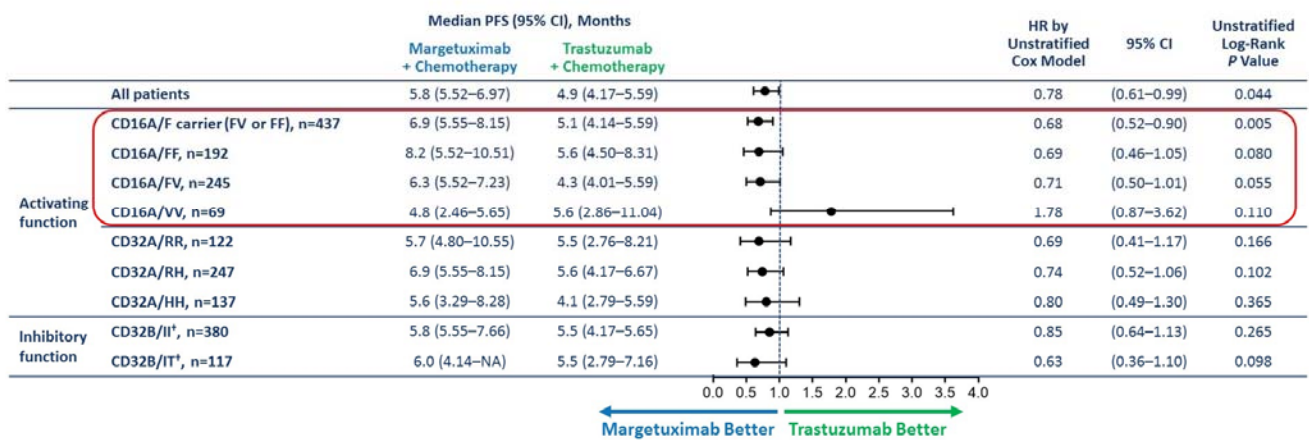
• PFS analysis was triggered by last randomization on October 10, 2018, after 265 PFS events occurred

ITT population: N=536. CI=confidence interval.

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Planned* Exploratory PFS Analyses by FcγR Genotypes (CBA)

Margetuximab benefit appears to be increased in low-affinity CD16A-158F allele carriers



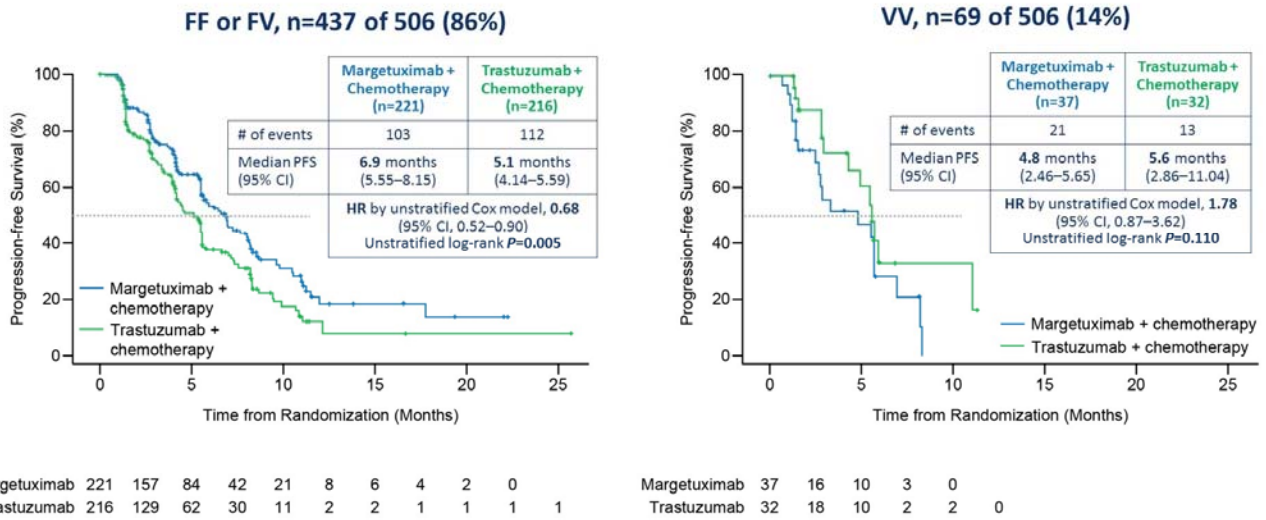
*Non-alpha allocating, exploratory analysis.

*CD32B/TT not included on forest plot because n=9 is too small (5 on margetuximab, 4 on trastuzumab) to make analysis meaningful.

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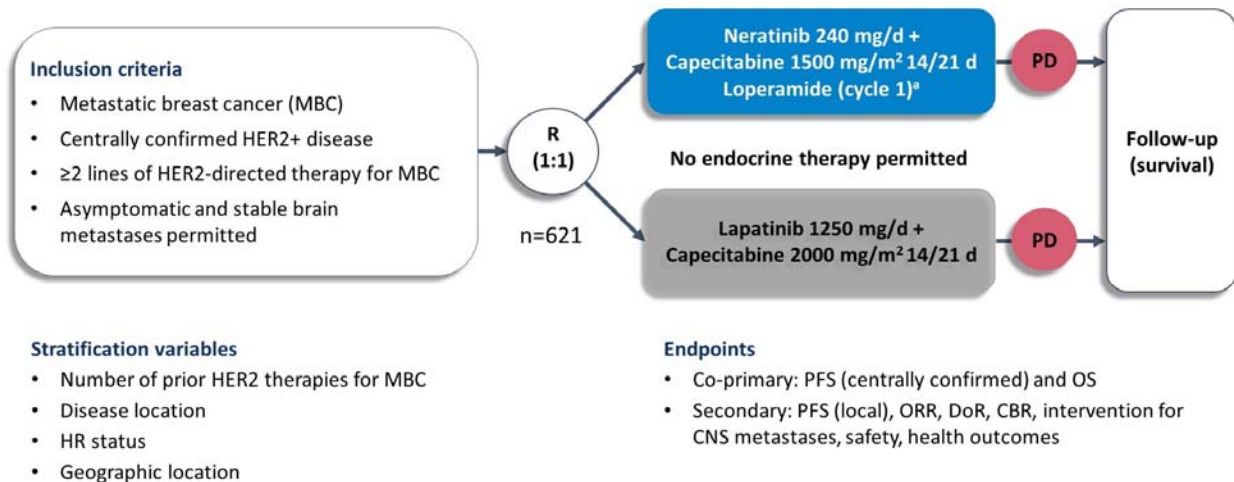
Planned Exploratory PFS Analysis by CD16A Genotype, by CBA

506 patients genotyped (94%)



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NALA study design



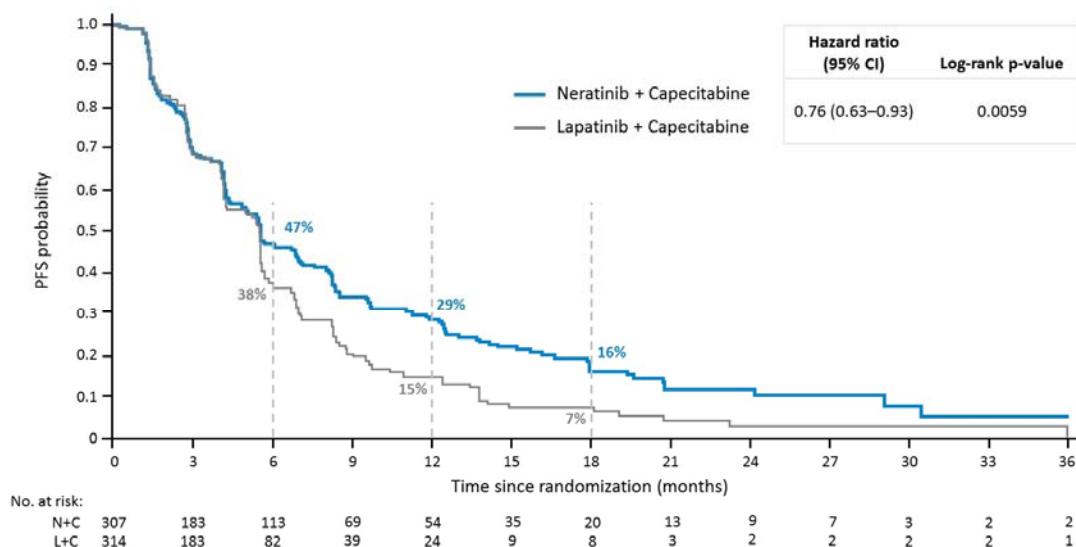
Loperamide 4 mg with first dose of neratinib, followed by 2 mg every 4 h for first 3 d, then loperamide 2 mg every 6-8 h until end of Cycle 1. Thereafter as needed

Cristina Saura at 2019 ASCO Annual Meeting

NALA: baseline characteristics

	Neratinib + Capecitabine (n=307)	Lapatinib + Capecitabine (n=314)
Age <65 years, n (%)	244 (79)	248 (79)
Geographic region, n (%)		
Europe	121 (39)	123 (39)
North America	59 (19)	65 (21)
Rest of world	127 (41)	126 (40)
HR+ (ER+ and/or PR+), n (%)	181 (59)	186 (59)
Disease location at enrollment, n (%)		
Non-visceral only	60 (20)	61 (19)
Visceral	247 (80)	253 (81)
De novo metastatic disease, n (%)	139 (45)	136 (43)
No. of prior HER2 targeted therapies for MBC, n (%)		
2	215 (70)	215 (68)
≥3	92 (30)	99 (32)
Prior HER2 therapies for MBC, n (%)		
Trastuzumab only	124 (40)	113 (36)
Trastuzumab + pertuzumab	24 (8)	23 (7)
Trastuzumab + T-DM1	58 (19)	64 (20)
Trastuzumab + pertuzumab + T-DM1	101 (33)	114 (36)

NALA: centrally confirmed PFS (co-primary endpoint)



HER2 TKI summary

Drug	Lapatinib	Naratinib	Pyrotinib	Tucatinib
Reversibility	Reversible	Irreversible	Irreversible	Reversible
Target	HER1, 2	HER1, 2, 4	HER1, 2, 4	HER2
Route	Oral	Oral	Oral	Oral
Dosage	1250mg daily D1~D14 Q3W	240mg daily	400mg daily	300mg BID
AE	Diarrhea Skin rash	Diarrhea	Diarrhea HFR	Diarrhea Nausea Liver function

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Summary of third line study

Study	Lapatinib* (n=324)	Naratinib (n=621)	Margetuximab (n=536)
Control group	CAP	L+CAP	H+C/T
HR+	153(47%)	181(59%)	334(62%)
Prior (neo)adjuvant Tx	16(5%)	0(0%)	303(57%)
No. of prior HER2 MBC Tx			
2	--(- -)	215(70%)	355(66%)
≥3	--(- -)	92(30%)	181(34%)
Prior HER2 Tx			
H only	100(100%)	124(40%)	0(0%)
P+H	0(0%)	24(8%)	47(9%)
T+T-DM1	0(0%)	58(19%)	0(0%)
H+P+T-DM1	0(0%)	101(33%)	489(91%)
PFS (months)	8.4 vs 4.4	8.8 vs 6.6	5.8 vs 4.9
HR (PFS)	0.47 (0.32~0.68)	0.76 (0.63~0.93)	0.76 (0.59~0.98)

*2nd line setting

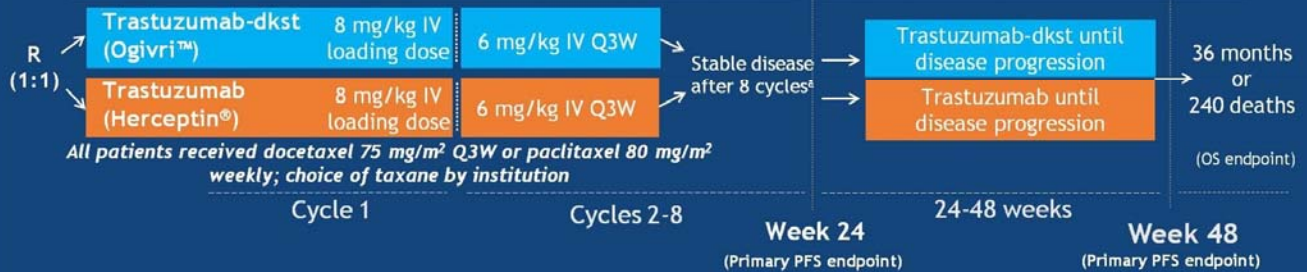
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HERITAGE Study Design: Confirmatory Double-blind International Study

Designed per FDA and EMA guidelines to detect any potentially clinically meaningful differences between biosimilar and originator trastuzumab

Part 1: Double-blind combination treatment with taxanes

Part 2: Double-blind monotherapy



EMA, European Medicines Agency; FDA, Food and Drug Administration; IV, intravenous; OS, overall survival; PFS, progression-free survival; Q3W, every 3 weeks; R, randomization. ^aContinue 3-week cycles; if stable disease after 8 cycles, can continue combination treatment from part 1 at investigator's discretion. Rugo et al. *JAMA*. 2017;317:37-47.

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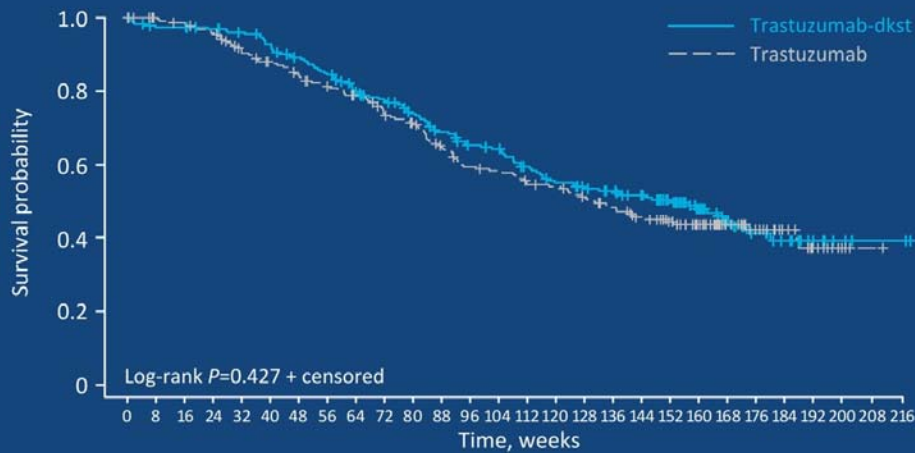
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Overall Survival at 36 Months



Trastuzumab-dkst
Trastuzumab

230 221 221 217 212 203 192 182 168 159 148 136 126 122 110 101 95 90 83 71 51 31 22 17 9 5 2 2
228 221 217 210 195 185 177 168 160 148 139 124 112 109 101 99 91 84 75 65 52 36 27 19 11 5 1 0

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Landscape of Trastuzumab Biosimilars in US

				Availability
Mylan	Ogivri	Approved ⁷	Agreement in place ²⁰	Not Launched
Pfizer	Trazimera	Approved ¹⁰	Agreement in place ²¹	Not Launched
Celltrion	Herzuma	Approved ⁸	No agreement; litigation dismissed ¹⁹	Not Launched
Samsung Bioepis	Ontruzant	Approved ⁹	No agreement; ongoing litigation ¹⁸	Not Launched
Amgen	ABP-980	Under Review after Resubmission ¹²	No agreement; ongoing litigation ¹⁸	Not Launched
Tanvex	TX-05	Phase 3 Clinical Trial	No Agreement	Not Launched

Top to bottom: the order in which Herceptin biosimilar commercial launches are expected to

<https://www.biosimilardevelopment.com>

As of April 2019:

- 4 Trastuzumab biosimilars approved by FDA
- 2 others with phase III studies
- Trastuzumab-dkst will commercially launch in mid 2019

Globally, there are 10 trastuzumab biosimilars in different stages of development

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PRESENTED BY: Olwen M. Hahn, MD

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Presented By Olwen Hahn at 2019 ASCO Annual Meeting

Anti-HER2 directed Antibody Conjugates in Development

Agent	Anti-HER2 MAB/payload (target)	Drug to antibody ratio	Linker drug	Phase of development	ORR in HER2-positive	ORR in HER2 low (IHC1+/2+/ISH-)
Trastuzumab-DM1 (T-DM1) ⁷	Trastuzumab/ DM1 (anti-tubulin)	3.5	Noncleavable	US FDA Approved	43.6%	---
Trastuzumab duruxtecan (DS-8201a) ³⁹	Trastuzumab/ exatecan derivative (topoisomerase I inhibitor)	8	Cleavable	II/III NCT03248492 NCT03529110 NCT03523585	54.5%	50%
SYD985 ⁴⁰	Duocarmycin derivative (alkylating agent)	2.8	Cleavable	III NCT03262935	33%	HR + 27% HR - 40%
XMT-1522 ⁴¹	XMT-1519/ monomethyl auristatin (anti-tubulin)	12	Cleavable	I NCT02952729	unknown	unknown
ARX788	Anti-HER2 MAB/ auristatin analog 269 (AS269) (anti-tubulin)	1.9	Non-cleavable	I NCT03255070	unknown	unknown
DHES0815A	Trastuzumab/ alkylator	2	Cleavable	I NCT03451162	unknown	unknown

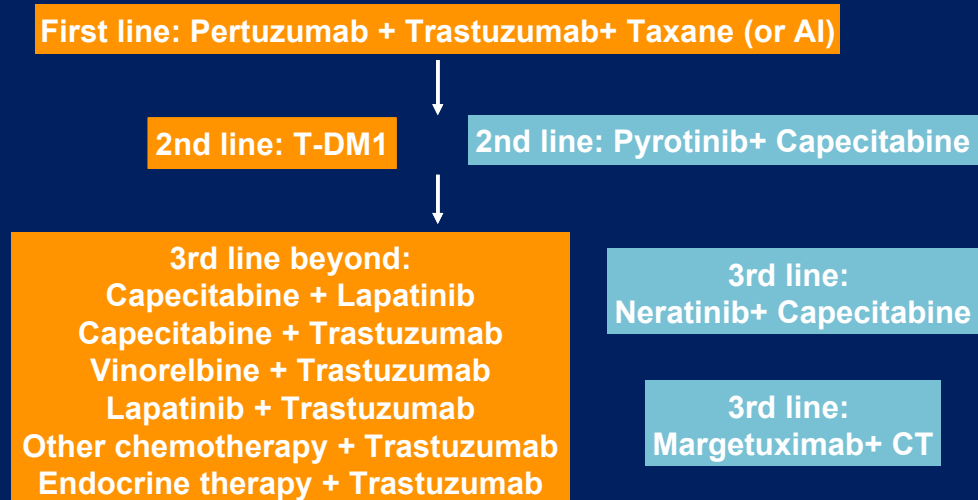
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PRESENTED BY: Carlos Barrios MD

Pernas S, Tolaney SM. Ther Adv Med Oncol 2019, Vol. 11: 1-16
DOI: 10.1177/1758835919833519

Carlos Barrios at 2019 ASCO Annual Meeting

Treatment approach for HER2+ MBC



Sequence by patient's preference

Benefit of Pertuzumab in 2nd line use is less than 1st line
T-DM1 could be used in >2nd line setting

Adapted from Carlos Barrios at 2019 ASCO Annual Meeting

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Take Home Message

Survival of HER2-positive MBC is improving.

Pertuzumab-based dual blockade treatment currently is the treatment choice in HER2-positive MBC.

T-DM1 remained 2nd line management in HER2-positive MBC, which is also effective in 3rd line.

More and more **HER2 TKI** shows clinical benefit in treatment in either 2nd line or beyond, which might have great impact in the future.

HER2 biosimilar is on the wave.

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Thanks for your attention
questions and comments welcome